

# A novel argument for the universality of parsing principles

Nino Grillo\*, João Costa

*Centro de Linguística da Universidade Nova de Lisboa  
Faculdade de Ciências Sociais e Humanas  
Av. de Berna 26-C 1069-61, Lisboa, Portugal.*

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## Abstract

We show that previous work on Relative Clause attachment has overlooked a crucial grammatical distinction across both languages and structures tested: the selective availability of Pseudo Relatives. We reconsider the literature at the light of this finding and argue that, all else being equal, local attachment is found with genuine Relative Clauses and that non-local attachment preferences emerge in the contexts in which Pseudo Relatives are allowed. We conclude that apparent cross-linguistic variation in parsing preferences is reducible to grammatical factors. The results of two novel experiments in Italian support these conclusions.

*Keywords:* Locality, Attachment Preferences, Universality of Parsing Principles, Relative Clauses, Pseudo Relatives.

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## 1. Introduction

1           In this paper we show that a confounding factor was ignored in the literature on Relative  
2           Clause (RC) attachment preferences in complex NPs originated with the findings of [Cuetos &  
3           Mitchell \(1988\)](#): the asymmetric availability of Pseudo Relative Small Clauses (PRs)<sup>1</sup>. PRs and  
4           Relative Clauses (RCs), despite being string identical, are very distinct at the structural and in-  
5           terpretive level. Importantly, in the context of complex NPs, attaching to the most local NP is  
6           not a grammatical option with PRs, i.e. High Attachment is forced when PRs are represented.  
7           PRs are not available in all languages: they are grammatical in Spanish (French, Italian, Dutch  
8           a.o.) but not in English (Romanian, Basque, Chinese, a.o.). This means that, in certain contexts  
9           to be discussed below, an identical string is open to at least one additional interpretation / struc-  
10          tural parse in Spanish. An interpretation / parse unavailable in English. Furthermore PRs are  
11          not available in all syntactic and semantic environment: like other type of Small Clauses, they  
12          are selected by a relatively small set of predicates and subjected to a number of syntactic and  
13          semantic constraints.  
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\*Corresponding author. Present address: University College London, Division of Psychology and Language Sciences, Chandler House, 2 Wakefield Street London WC1N 1PF. Tel.: +44 (0) 207 679 4017, Fax: +44 (0) 20 7679 4238.

*Email address:* [nino.grillo@gmail.com](mailto:nino.grillo@gmail.com) (Nino Grillo)

<sup>1</sup>List of abbreviations: PR: Pseudo Relative; RC: Relative Clause; SC: Small Clause; HA: High Attachment; LA: Low Attachment; CE: Center Embedding; RB: Right Branching; DP: Determiner Phrase; NP: Noun Phrase; CP: Complementizer Phrase; VP: Verb Phrase; PP: Prepositional Phrase; *Acc-ing*: Accusative + progressive constructions.

15 Analyzing previous results on attachment preferences both across languages and across syn-  
16 tactic structures, we observe the following: everything else being equal (i.e. when factors such  
17 as e.g. prosody and referentiality are controlled for) a Low Attachment preference is observed in  
18 the presence of genuine Relative Clauses, i.e. when PRs are not allowed. When PRs are allowed,  
19 High Attachment preference is observed.

20 We speculate on the origin of this generalization and propose an account based on the struc-  
21 tural and interpretive distinction between PRs and RCs. We then present the results of two novel  
22 studies on attachment preferences in Italian in which we manipulated PR availability. The results  
23 of both experiments strongly support the above generalization: a Low Attachment preference is  
24 observed in all conditions in which RC is the only available reading, while significantly more  
25 High Attachment preferences are observed when PRs are a grammatical option.

26 Finally, we claim that the data demonstrate that locality is a universal principle governing  
27 the human language parser and the apparent exceptions can be reduced to the variation in PR-  
28 availability across languages and structures. This does not mean to say that locality does not  
29 interact with other principles when it comes to RC attachment. As, e.g. Gilboy et al. (1995)  
30 show convincingly, *Referentiality* plays a major role in deciding RC attachment, the same is  
31 true of prosody. Importantly these interaction between principles of locality and referentiality  
32 / prosody (among others) generate the same outcome in all languages studied. What we set  
33 to explain here is the residual asymmetry in attachment across both languages and structures  
34 which is still left unexplained by previous approaches. We claim that when PR-availability is  
35 considered, much of this variation can receive a principled explanation which does not require  
36 postulating languages specific parsing mechanisms.

37 The structure of the paper is the following: section 1.1 briefly introduces the relevant lit-  
38 erature on variation in RC attachment across languages, structures and individual processing  
39 capacity. The availability of Pseudo Relative Small Clauses (PR) (section 2). After having pre-  
40 sented some core properties distinguishing PRs from genuine RCs (section 2.1), we will propose  
41 that the parser is more likely to resolve this ambiguity in favor of Pseudo Relatives over Relative  
42 Clauses, as the former are simpler on both structural and interpretive grounds 3. Sections 3.1  
43 and 3.2 discuss the application of this distinction to previously observed attachment preference  
44 asymmetries across languages and structures respectively. Section 4 presents the results of two  
45 novel experiments on attachment preferences in Italian in which we manipulated PR availability.  
46 Section 5 sums up the findings and concludes with a research agenda to further investigate the  
47 role of PRs in attachment.

### 48 1.1. Asymmetries in Attachment Preferences

49 Principles of locality have been shown to regulate both structure building and filler-gap pro-  
50 cesses in language processing (*Right Association* Kimball 1973; *Late Closure* Frazier 1978; *Min-*  
51 *imal Attachment* Frazier & Fodor 1978; *Minimal Chain Principle* De Vincenzi 1991; *Recency*  
52 *Gibson* 1991; *Merge Right* Phillips 1996, a.o.).<sup>2</sup>

53 (1) details a typical case of ambiguity in which such principles have been shown to apply:

54 (1) John said that Bill arrived yesterday

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<sup>2</sup>While it is a matter of debate whether these, and other, principles of syntactic parsing apply in isolation from, and prior to, other factors involved in deciding the meaning of a sentence, e.g. context, plausibility, lexical idiosyncrasy (see e.g. Altmann et al. 1998 on the effects of contexts in late closure), there is substantial consensus that principles of locality play a major role in human language parsing.

- 55 a. John [<sub>VP</sub> said [<sub>CP</sub> that [<sub>IP</sub> Bill [<sub>VP</sub> arrived yesterday]]]]  
 56 b. John [<sub>VP</sub> said [<sub>CP</sub> that [<sub>IP</sub> Bill [<sub>VP</sub> arrived]]] yesterday]

57 Principles of Locality, correctly predict (1-a), i.e. with the temporal modifier *yesterday* attaching  
 58 to the most local potential host, to be the preferred interpretation.

59 Yet, this picture is not exempt from problems: Cuetos & Mitchell (1988) tested both English  
 60 and Spanish speakers attachment preferences with RCs embedded within complex NPs (2). They  
 61 found that while English speakers had a preference for Low Attachment (LA), i.e. appear to obey  
 62 locality principles akin to Late Closure (2-a), Spanish speakers preferred High Attachment (HA),  
 63 apparently disobeying locality (2-b).

- 64 (2) a. Someone shot the maid<sub>1</sub> of the actress<sub>2</sub> that<sub>2</sub> was<sub>2</sub> standing on the balcony  
 65 b. Alguien disparó contra la criada<sub>1</sub> de la actriz<sub>2</sub> que<sub>1</sub> estaba<sub>1</sub> en el balcón

66 These findings, are not only at odds with the otherwise uniform Local Attachment preference  
 67 found for other structures in the same languages (e.g. PPs) (Phillips & Gibson, 1997), led to  
 68 question the universality of locality principles in processing and, as a consequence, of the very  
 69 existence of universal principles of parsing, grounded on syntactic structures or otherwise. This,  
 70 in turn, raised important theoretical problems with respect to a theory of language acquisition<sup>3</sup>

71 The second issue is in many respects far more critical than the first: while grammatical vari-  
 72 ation is amenable to principled explanations, cross-linguistic variation in parsing preferences in  
 73 the absence of grammatical differences is much harder to deal with in a principled way. For these  
 74 reasons, in the last two decades a great amount of work aimed at both testing attachment pref-  
 75 erences across languages and structures and explaining the problematic findings. These studies  
 76 confirmed that speakers of different languages differ in RC attachment preferences in complex  
 77 DPs of the form NP1 P NP2 RC: besides English (Cuetos & Mitchell, 1988; Mitchell & Cuetos,  
 78 1991; Gilboy et al., 1995; Fernández, 1999, 2003; Frazier & Clifton, 1996, a.o.), a Low  
 79 Attachment (LA) preference is found in e.g. Romanian (Ehrlich et al., 1999), Basque (Gutierrez-  
 80 Ziardegi et al., 2004), Chinese (Shen, 2006), while a preference for High Attachment (HA) was  
 81 reported in e.g. Dutch (Brysbaert & Mitchell, 1996; Mitchell & Brysbaert, 1998; Mitchell et al.,  
 82 2000), French (Mitchell et al., 1990; Frenck-Mestre & Pynte, 2000b; Zagar et al., 1997), Italian  
 83 (De Vincenzi & Job, 1993, 1995), Russian (Sekerina, 1997, 2004; Fedorova & Yanovich, 2004,  
 84 2006b,a; Dragoy, 2007) and Greek (Papadopoulou & Clahsen, 2003).

85 To complicate things further, variation within the same languages for similar structures was  
 86 reported across studies. Brazilian Portuguese was classified as an LA language by (Miyamoto,  
 87 1999), but Maia & Maia (2001); Ribeiro (1998, 2005) have shown a consistent preference for  
 88 HA among its speakers. A similar situation arises with German, traditionally classified as HA  
 89 preference language Hemforth et al. (2000b,a), but shown to behave in accordance with LA  
 90 languages by Murray et al. (2000) and more recently Augurzky (2005). It's hard to say how  
 91 much of this variation is due to dialectal variation and / or induced by differences in experimental  
 92 design (see Fernández 2003 for discussion of this matter).

93 Table 1 and 2 (adapted from Augurzky (2005)) summarize these results divided by LA and  
 94 HA languages respectively.

<sup>3</sup>As Fodor (1998a, p. 285) puts it: *The whole explanatory project [...] based on the hypothesis that the processing mechanism is fully innate and applies differently to different languages only to the extent that their grammars differ [...] is in peril because of the discovery that Late Closure is not universal.*

LA Languages	
Arabic	Abdelghany & Fodor (1999); Quinn et al. (2000)
Basque	Gutierrez-Ziardegi et al. (2004)
**Bulgarian	Sekerina et al. (2003)
Chinese	Shen (2006)
English	Cuetos & Mitchell (1988); Mitchell & Cuetos (1991), Gilboy et al. (1995); Fernández (2003), Frazier & Clifton (1996)
**German	Augurzky (2005); Murray et al. (2000)
Norwegian	Ehrlich et al. (1999)
**Portuguese	Miyamoto (1999)
Romanian	Ehrlich et al. (1999)
Swedish	Ehrlich et al. (1999)

Table 1: Summary of studies reporting LA for the languages indicated. Note: “\*\*” precedes contrasting results.

HA Languages	
Afrikaans	Mitchell et al. (2000)
**Bulgarian	Sekerina et al. (2003)
Croatian	Lovrić (2003)
Dutch	Brybaert & Mitchell (1996); Mitchell & Brybaert (1998), Mitchell et al. (2000); Desmet et al. (2002b)
French	Mitchell et al. (1990); Frenck-Mestre & Pynte (2000b), Zagar et al. (1997); Colonna et al. (2000), Colonna & Pynte (2001a)
Galician	Fraga et al. (2005)
**German	Hemforth et al. (1998, 2000b), Hemforth et al. (2000a,c), Konieczny et al. (1997); Konieczny & Hemforth (2000)
Greek	Papadopoulou & Clahsen (2003)
Italian	De Vincenzi & Job (1993, 1995)
**Portuguese	Ribeiro (1998, 2005), Maia & Maia (2001), Maia et al. (2006), Miyamoto (2005)
Russian	Sekerina (1997, 2004)
Spanish	Cuetos & Mitchell (1988); Carreiras & Clifton (1993), Carreiras & Clifton (1999); Cuetos et al. (1996), Gibson et al. (1999); Igoa et al. (1998), Gilboy et al. (1995); Mitchell et al. (1990)

Table 2: Summary of studies reporting HA for the languages indicated.

95 *Variation across syntactic structures.* Variation across languages is accompanied by variation  
 96 *across syntactic structures* within the same language. In certain well-defined syntactic structures  
 97 the cross-linguistic asymmetry in attachment disappears. The first such observation is due to [De](#)  
 98 [Vincenzi & Job \(1993, 1995\)](#), who showed that RC HA preference in Italian (3-a) disappears in  
 99 the contexts of thematic prepositions (3-b):

- 100 (3) TYPE OF P (De Vincenzi & Job, 1993, 1995)  
 101 a. Qualcuno ha sparato alla governante<sub>1</sub> dell'attrice<sub>2</sub> che stava<sub>1</sub> seduta in balcone  
 102 Someone shot the maid of the actress that was sitting on the balcony  
 103 b. Qualcuno ha sparato alla governante<sub>1</sub> con l'attrice<sub>2</sub> che stava seduta<sub>2</sub> in balcone  
 104 Someone shot the maid with the actress that was sitting on the balcony

105 Similar findings, were reported for other languages, including Spanish ([Cuetos et al., 1996](#)),  
 106 English ([Frazier & Clifton, 1996](#); [Traxler et al., 1998](#)), French ([Frenck-Mestre & Pynte, 2000b](#);  
 107 [Zagar et al., 1997](#)) and Greek ([Papadopoulou & Clahsen, 2003](#)).

108 Similarly, [Hemforth et al. \(unpublished\)](#), show that Spanish speakers, just like English Speak-  
 109 ers, demonstrate LA preference with complex NPs in subject position (5):

- 110 (4) SUBJECTS Hemforth et al. (unpublished)  
 111 a. The maid<sub>1</sub> of the actress<sub>2</sub> that<sub>2</sub> was<sub>2</sub> on the balcony is blonde  
 112 b. La criada<sub>1</sub> de la actriz<sub>2</sub> que<sub>2</sub> estaba<sub>2</sub> en el balcón es rubia  
 113 The maid of the actress that was sitting on the balcony is blonde

114 [Fernández \(2003\)](#) discusses the case of Spanish RCs introduced by the relative pronoun “*el cual*”  
 115 (who) vs. the more common complementizer “*que*” (that). While, as seen above, HA is generally  
 116 observed with the latter, a sharp preference for LA appear to be induced by the former:

- 117 (5) RELATIVE PRONOUNS (Fernández, 2003, p.31)  
 118 Vi al hijo<sub>1</sub> del medico<sub>2</sub> el cual<sub>2</sub> estaba en el balcón  
 119 I saw the son of the doctor who<sub>rel-pro</sub> was on the balcony

120 *Attachment in 3 sites environments.* Another notable environment in which the asymmetry be-  
 121 tween English and Spanish disappears is in the presence of 3 possible attachment sites. [Gibson](#)  
 122 [et al. \(1996\)](#) observed a U-shaped attachment preference in both languages in the presence of 3  
 123 possible attachment sites, with highest preference for the most local NP3, followed by the least  
 124 local NP1 and lastly by intermediate NP2. [Gibson et al. \(1996\)](#) tested sentence fragments, i.e.  
 125 isolated nominals which might have been interpreted as subjects of a forthcoming matrix verb.  
 126 An example of the stimuli is given in (6):

- 127 (6) NOMINALS Gibson et al. (1996)  
 128 a. The lamp<sub>1</sub> near the painting<sub>2</sub> of the house<sub>3</sub> that was<sub>3 > 1 > 2</sub> damaged by the flood.  
 129 b. La lámpara<sub>1</sub> cerca de la pintura<sub>2</sub> de la casa<sub>3</sub> que fué<sub>3 > 1 > 2</sub> dañada en la inundación.

130 [Gibson et al. \(1999\)](#) obtained similar results with full sentences containing the complex NPs in  
 131 object position in Spanish. They conducted two experiments using the same materials modified  
 132 to include either 2 or 3 NPs. In the two-NP condition (7-a) the usual HA preference emerged,  
 133 while in the three-NP condition (7-b) they observed the U-shaped preference identified in [Gibson](#)  
 134 [et al. \(1996\)](#).

- 135 (7) a. El astrónomo predijo las órbitas<sub>1</sub> de los planetas<sub>2</sub> que<sub>1</sub> > <sub>2</sub> se observó desde el  
 136 satélite.  
 137 The astronomer predicted the orbits of the planet that was observed from the satel-  
 138 lite.  
 139 b. El astrónomo predijo el cambio<sub>1</sub> de las orbitas<sub>2</sub> de los planetas<sub>3</sub> que<sub>3</sub> > <sub>1</sub> > <sub>2</sub> se ob-  
 140 servó desde el satélite.  
 141 The astronomer predicted the changes of the orbits of the planet that was observed  
 142 from the satellite.

143 Similar findings were reported for Japanese and Brazilian portuguese (Miyamoto et al., 1999;  
 144 Miyamoto, 1999), while a different U-shaped pattern (NP1>NP3>NP2) was found by Wijnen  
 145 (1998); Wijnen et al. (1999) for Dutch and Dragoy (2007) for Russian.

146 *Referentiality.* Gilboy et al. (1995) demonstrated that Referentiality, and the type of relation  
 147 between the two NPs (e.g. functional *assistant of the inspector* vs. substance *sweater of cotton*)  
 148 plays a central role in deciding attachment preferences in a similar way across both English and  
 149 Spanish; see section 1.2 for discussion.

150 *Prosodic Effects.* Several studies have investigated the effects of prosody on RC attachment.  
 151 Following Fodor; Fodor; Fodor's (1998a; 1998b; 2002b) proposal that readers project a prosodic  
 152 contour while reading, which can in turn influence the syntactic parsing (see section(14)), many  
 153 researchers demonstrated that length manipulation, of either the RC and / or the NPs, strongly  
 154 affects attachment preferences. An effect of length was obtained consistently across languages:  
 155 a stronger preference for HA is found with longer RCs than with short ones. These effects make  
 156 perfect sense if the parser follows prosodic principles and tries to balance the length of different  
 157 prosodic phrases in the clause. Reading a long RC is easier if a prosodic boundary is placed at its  
 158 onset. This boundary in turn influences the syntactic parsing, making LA less likely to arise. The  
 159 resulting prosodic phrases are well-balanced, as the long complex NP is balanced by a long RC.  
 160 A short RC, on the other hand, can be more easily be part of the previous prosodic phrase and  
 161 the absence of a break pushes the syntactic parser to attach low (see Fernández, 2003; Augurzky,  
 162 2005, for discussion).

163 *Differences between Offline and Online results.* Online results, in HA languages like Italian (see  
 164 De Vincenzi & Job, 1993, 1995), have shown that reaction times at a disambiguating region  
 165 within the embedded clause are shorter when the disambiguating material matches the lower NP  
 166 than when it matches the higher NP. These, apparently contrasting, results are generally inter-  
 167 preted as showing an initial LA preferences followed by a later reanalysis for HA. Similar results  
 168 have been obtained in other languages (see e.g. Fernández 2003 for Spanish, Baccino et al.  
 169 2000 for French and Italian; Frenck-Mestre & Pynte (2000a,b); Pynte et al. (2003) for French;  
 170 Kamide & Mitchell (1997); Miyamoto (2005) in Japanese and Lourenço-Gomes et al. (2011) in  
 171 Portuguese). However, as Andrea Santi p.c. pointed out, this is only one possible interpretation  
 172 of the timing results. One, equally valid interpretation of (at least some of) the data is that longer  
 173 RTs for High Disambiguation are due to *intervention* effects, triggered by the similarity of in-  
 174 ternal structure of the target High-NP and the intervening Low-NP. This explanation would treat  
 175 the timing effects as a common case of *attraction* phenomena (Bock & Miller 1991; Franck et al.  
 176 2006, 2007, 2010, for a review and a discussion of the effects of attraction in comprehension see  
 177 Wager et al. 2009). Attraction effects occur also in the absence of ambiguity and crucially this

178 explanation does not require stipulating commitment to a parse followed by reanalysis. Prelimi-  
179 nary empirical support for this interpretation, which we are currently investigating, can be found  
180 in Lourenço-Gomes et al. (2011). A similar claim is made in Miyamoto (2005), cited in Maia  
181 et al. (2006). (8) illustrates this point with manipulation of Number. A full paradigm involves  
182 crossing both local and non-local configurations (i.e. LA and HA) with the number specification.

- 183 (8) a. NON-LOCAL SING-PL-SING  
184 Someone shot the maid.SING of the actresses.PL that was.SING on the balcony  
185 b. NON-LOCAL PL-SING-PL  
186 Someone shot the maids.PL of the actresses.SING that were.PL on the balcony  
187 c. LOCAL PL-SING-SING  
188 Someone shot the maids.PL of the actress.SING that was.SING on the balcony  
189 d. LOCAL SING-PL-PL  
190 Someone shot the maid.SING of the actresses.PL that were.PL on the balcony

191 On the basis of the attraction literature we can predict the non-local agreement configuration in  
192 (8-a) and (8-b) to be harder to process than the local configuration in (8-c,d). On the basis of the  
193 same literature, we expect (8-a) to be harder than (8-b). The results in Lourenço-Gomes et al.  
194 (2011), who tested the full paradigm above, support this prediction. Grillo et al. (2013b), who  
195 also manipulated PR availability, obtained similar results.

196 A thorough review of the online attachment literature is necessary to fully assess both the  
197 extent of the parallelism between early-attachment preference and *attraction*, i.e. to what extent  
198 is the former reducible to the latter, and the effects of PR-availability on online results. Much  
199 more work is needed on this asymmetry, since a proper assessment is complicated by the usual  
200 difficulty in accessing the original stimuli coupled with the great extent of variation in the type  
201 of disambiguation (e.g. semantic vs. grammatical gender, number, plausibility) and the position  
202 of disambiguation (early vs. late in the sentence) used across studies.

203 *Individual variation.* Finally, an interaction of reading span with attachment preferences was  
204 consistently observed in both children Felser et al. (2003) and adults (Mendelsohn & Pearlmutter,  
205 1999; Swets et al., 2007; Omaki, 2005). Somewhat surprisingly, these studies reported a  
206 preference for HA in participants with low reading span and a preference for LA in participants  
207 with high reading span.<sup>4</sup>

## 208 1.2. Previous accounts

209 Several accounts have been proposed to explain this complex pattern of variation, e.g. the  
210 *Tuning Hypothesis* (Mitchell & Cuetos, 1991; Mitchell et al., 1995), *Construal* (Gilboy et al.,  
211 1995; Frazier & Clifton, 1996), *Predicate Proximity* (Gibson et al., 1996, 1999), *Anaphoric Bind-*  
212 *ing* (Hemforth et al., 1998, 2000b,a; Konieczny & Hemforth, 2000), *Implicit Prosody* (Fodor,  
213 1998a,b, 2002). A thorough discussion of these approaches is beyond the scope of this pa-  
214 per. Excellent critical reviews of this literature can be found in Fernández (2003) and Augurzky  
215 (2005).

216 Above and beyond the specific claims of the various accounts, which we'll summarize shortly,  
217 these works (and many other works produced to test their predictions) have made it clear that

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<sup>4</sup>Fraga et al. (2012) reported an offline effects of the emotional charge associated with nouns on RC attachment. Emotionally (both positive / pleasant, *orgasm* and negative / unpleasant *killer*) charged nouns appear to act as attractors for RC attachment.

218 several factors are ultimately involved in determining attachment preferences, including: lexical,  
219 prosodic, and frequency / recency of exposure to prior attachment resolution. It is important  
220 to underline that the findings presented here do not deny the relevance of these factors for the  
221 resolution of RC-attachment and certainly do not stand in opposition to previous accounts. One  
222 issue we will return to is that none of them has considered the potential role of PR-availability in  
223 RC-attachment.

224 *The Tuning Hypothesis.* The *Tuning Hypothesis* (Mitchell & Cuetos, 1991; Mitchell et al., 1995;  
225 Cuetos et al., 1996) proposes an explanation to the cross-linguistic asymmetry in RC attachment  
226 built around the idea that the frequency of exposure to High vs. Low attachment structures might  
227 be different across languages and individuals and that this difference might directly affect pro-  
228 cessing.

229 One advantage of the *Tuning Hypothesis* is that, in principle, it could easily accommodate both  
230 variation across languages (due to different statistical distribution of HA and LA across lan-  
231 guages) and individual variation in attachment preferences (due to differences in individual ex-  
232 posure's to HA and LA in a speaker's past experiences). HA languages, therefore, are predicted  
233 to show a higher frequency of HA resolutions, while LA languages would show the opposite.  
234 See Cuetos et al. (1996) for corpus analyses of English and Spanish supporting this prediction.  
235 Mitchell & Brysbaert (1998), on the other hand didn't find a correlation between frequency of at-  
236 tachment and attachment preferences in Dutch, as LA appeared to be much more frequent (69%)  
237 than HA in the corpus they considered.

238 Effects of frequency in processing are well-known, but there's lack of agreement as to whether  
239 they can affect early stages of syntactic processing and at what level of granularity do they ap-  
240 ply, i.e. do they apply at the sentence level, at the phrase level or do they involve lower level  
241 distinctions such as animacy or humanness? The latter was found to be essential in explain-  
242 ing attachment preferences in the analysis of Desmet et al. (2002a). Results from Gibson et al.  
243 (1996); Gibson & Schütze (1999), however, do not support the predictions of the Tuning Hy-  
244 pothesis as they found no direct relation between frequency and comprehension preferences, but  
245 see also Desmet & Gibson (2003).

246 The claim about individual variation and the relative frequency of exposure to HA and LA is  
247 obviously much harder, if not impossible, to test. One essential question, and one which often  
248 arises when looking at the relation between frequency distribution of a certain form and parsing  
249 preferences or complexity is whether it is the former that generate the latter or vice versa, i.e.  
250 that a form is less frequent because inherently more complex or less favored by the parser. This  
251 has often led to criticisms for the *Tuning Hypothesis's* explanatory power.

252 More importantly, for the present discussion, is the fact that none of the corpus studies on  
253 attachment took into account the difference between Relative Clauses, in which attachment is  
254 indeed optional, and Pseudo Relatives, in which attachment is obligatorily high. A detailed,  
255 much needed, reevaluation of these studies at the light of this finding is far beyond the scope  
256 of this paper. On this issue, Marc Brysbaert, p.c. 2012 pointed out that the difference in RC-  
257 attachment between animate nouns (>HA) and inanimate nouns (>LA) observed by Desmet  
258 et al. (2002a) might be explained assuming that PRs can only occur with animate nouns, and  
259 that this be further evidence for the central role of PR-availability in influencing RC attachment.  
260 PRs, however, are available with both animate and inanimate NPs, e.g. *Ho visto il vaso che si*  
261 *spaccava per il freddo / I saw the vase break itself because of the cold*, but there seem to us to be  
262 a clear preference for PRs to be built with animate subjects. This possibly stems from the fact  
263 that subjects of PRs have to undergo some kind of perceivable change of state or displacement,

264 e.g. *Ho visto la pietra che cadeva / I saw the stone falling*. A proper assessment of the role  
265 of PRs in this pattern would require reanalyzing the relevant corpora to see whether the RCs  
266 under consideration could also be interpreted as PRs, and whether there was a difference in their  
267 internal structure when attached to animate or inanimate NPs (e.g. were inanimate NPs modified  
268 by stative *the vase that had been broken* or eventive *the vase that was being broken* RCs); see  
269 section 2.1 for discussion of these differences.

270 *Construal*. Gilboy et al. (1995); Frazier & Clifton (1996) propose a modification of the *Garden-*  
271 *Path model* which takes into account the distinction between *primary* and *non-primary* relations.  
272 Structural parsing principles, i.e. Minimal Attachment and Late Closure (Frazier, 1978, 1987),  
273 apply to primary relations, such as verb-argument relations.

274 (9) Primary phrases and relations include: (a) the subject and main predicate of a (+ or -)  
275 finite clause; (b) their complements and obligatory constituents; and (c) complements an  
276 obligatory constituents of primary phrases.<sup>5</sup>

277 Non-primary relations, e.g. the adjunct relation between NPs and Relative Clauses, are not  
278 governed by the parser in the same way as arguments, but they are *construed*, i.e. associated to  
279 the current thematic processing domain in accordance to a variety of non-structural principles.

280 (10) *Construal Principle*  
281 Associate XP, where XP cannot be a primary phrase, into the current processing domains  
282 - the extended maximal projection of the last thematic role assigner.

283 Restriction of construal to the current thematic processing domain (intended as the extended  
284 maximal projection of the last theta assigner encountered in the sentence) explains LA preference  
285 in the presence of the thematic preposition *with* illustrated above (De Vincenzi & Job, 1993,  
286 1995; Gilboy et al., 1995, a.o.).

287 In contrast to structural principle, which are blind to any non-syntactic factor, *Construal*  
288 takes into account pragmatic and discourse representation factors. In the presence of multiple  
289 attachment sites, for example, a *Referential Principle* (12) favors construing a restrictive RC with  
290 *referential* elements, e.g. *the shirt*, as opposed to *cotton*, in (11); the presence of a Determiner  
291 being one of the diagnostics for referentiality.

292 (11) Yesterday they gave me the sweater of cotton that was illegally imported.

293 (12) *Referentiality Principle*  
294 The heads of some maximal projections are “referential” in the sense that they introduce  
295 entities (e.g. discourse participants) into the discourse model (at least temporarily) or  
296 correspond to already existing discourse entities. Restrictive modifiers (e.g. restrictive  
297 relative clauses) preferentially seek hosts that are referential in this sense. (Gilboy  
298 et al., 1995, p.136)

299 Similarly, Gilboy et al. propose that another pragmatic principle, the Gricean maxim of  
300 clarity (Grice, 1975), coupled with a grammatical difference between English and Spanish plays

---

<sup>5</sup>Gilboy et al. (1995), p. 133, specify that “a primary phrase relation is the main subject-predicate relation of any clause that is marked + or - finite (thus not including “small clauses”)”. Notice that this proviso does not include the relation between the subject and predicate of Pseudo Relatives, as this type of Small Clauses are +finite.

301 a crucial role in explaining the cross-linguistic variation in attachment. English, but not Spanish,  
302 allows for complex NPs to appear in two forms: the prepositional (*N1 of N2*) and the genitive  
303 (*N2's N1*). Crucially, RC attachment ambiguity disappears in the presence of the genitive form,  
304 and attachment to N1 is forced: *the actress<sub>2</sub>'s maid<sub>1</sub> who<sub>1/\*2</sub> was on the balcony*. Having access  
305 to an unambiguous option to express HA, English speakers would be expected, because of the  
306 Gricean maxim of clarity, to resort to the prepositional option to express LA. Spanish speakers,  
307 on the other hand, do not have such a choice, and therefore do not show a propensity for LA in  
308 their only available option (the prepositional).

309 Experimental results presented in [Gilboy et al. \(1995\)](#) strongly supports both effects of the  
310 thematic domain and referentiality on attachment. The alleged effects of the Gricean maxim of  
311 clarity postulated in the *Construal* approach, however, are not fully supported by cross-linguistic  
312 results. Based on this account, we would expect i. LA preference to arise with languages that,  
313 like English, allow for an alternative genitive form, which has been shown not to be the case  
314 for e.g. Greek ([Papadopoulou & Clahsen, 2003](#)), Dutch and Afrikaans ([Mitchell et al., 2000](#))  
315 and Croatian ([Lovrić, 2003](#)); and ii. HA preference in languages lacking the alternative genitive  
316 form, which is not universally true, see ([Ehrlich et al., 1999](#)) on Romanian.

317 *Predicate Proximity*. On the basis of the U-shaped attachment preference observed in 3-NP con-  
318 texts, [Gibson et al. \(1996, 1999\)](#) proposed an explanation to cross-linguistic variation as the  
319 product of the interaction of principles of *Recency* (13), a principle akin to *Late Closure*, with  
320 *Predicate Proximity* (14), an extension of the *Relativized Relevance* principle proposed by [Frazier](#)  
321 (1990).<sup>6</sup>

322 (13) *Recency*  
323 Preferentially attach structures for incoming lexical items to structures built more re-  
324 cently  
325 ([Gibson et al., 1996](#), p.26)

326 (14) *Predicate Proximity*  
327 Attach as close as possible to the head of a predicate phrase.  
328 ([Gibson et al., 1996](#), p.41)

329 (14) recognizes the central role played by predicates in structuring sentences, Gibson et al. hy-  
330 pothesize that “*the core predicate structure (i.e. the predicate and its argument) is ranked more*  
331 *highly for attachment by the parser*”, i.e. are preferred in cases in which multiple attachment  
332 sites are available.

333 To explain cross-linguistic variation in attachment in 2-NPs contexts, Gibson et al. pro-  
334 pose that *Predicate Proximity* is subject to parametrization, and is weak in English but strong in  
335 Spanish. This assumption is based on the relative degree of freedom in word order in a given  
336 language, in languages with relatively free word order (like Spanish, French and German) the  
337 predicate plays a more central role in parsing than in languages with relatively fixed word order  
338 like English.

---

<sup>6</sup>Relativized Relevance: *Other things being equal (e.g. all interpretations are grammatical, informative, and appropriate to discourse), preferentially construe a phrase as being relevant to the main assertion of the current sentence.* A difference between Relativized Relevance and *Predicate Proximity* is that the former was intended to apply *after* *Late Closure*, while the latter is taken to be in direct competition with *Recency*. On Relativized Relevance and adjunct attachment see also [Traxler & Frazier \(2008\)](#).

339 The strength of *Predicate Proximity* in Spanish would explain the HA preference in 2-NP sites  
340 in this language, while its relative weakness in English would account for the observed LA pref-  
341 erence. The increased distance between the predicate and the RC, would in turn account for  
342 the identical non-monotonic preference in the two languages, i.e. increasing the distance lowers  
343 the strength of *Predicate Proximity* over *Recency*. In section 3.2.2, after discussing the role of  
344 PRs in determining attachment preferences, we will provide an analysis of the cross-linguistic  
345 variation which does not require postulating the parametrization of principles such as *Relativized*  
346 *Relevance / Predicate Proximity*.

347 *Anaphoric Binding*. The *Anaphoric Binding* account (Hemforth et al., 1998, 2000b,a) focuses  
348 on the cross-linguistic difference in how RCs are introduced. In some languages, e.g. German,  
349 RCs are necessarily introduced by relative pronouns, while in others, e.g. English, not only  
350 pronominal elements (*who*) but also complementizers (*that*) or even null elements can alternate  
351 in introducing RCs. Since pronominals tend to be interpreted as referring to salient discourse  
352 antecedents, we can expect to find a strong effect of saliency in the interpretation of RCs (or  
353 adverbial clauses containing a pronominal, e.g. *when he was in the house*). Given that NP1  
354 in the complex NPs discussed here, is generally associated to the matrix clause, and thus more  
355 salient than NP2, a preference for HA can be predicted for RCs in languages in which these are  
356 obligatorily introduced by a relative pronoun.

357 The presence of a relative pronoun, in some languages, explains the difference between RC  
358 attachment, predicted to be consistently high in these languages, and PP attachment, which has  
359 been shown to be universally low. The *Anaphoric Binding* approach, thus, is successful in ex-  
360 plaining the difference between PP attachment, on the one side, and RC and Adverbial clauses on  
361 the other. Problems arise when more languages are considered, and in particular when the behav-  
362 ior of HA languages in presence of an optional relative pronoun is taken into account. Fernández  
363 (2003), p. 31, discusses how replacing the complementizer *que* with relative pronouns *el cual* in  
364 Spanish produces a sharp change in attachment preference from High to Low, against the predic-  
365 tions of *Anaphoric Binding*. Other languages with obligatory relative pronouns in the context of  
366 RCs appear to behave as predicted by the approach, e.g. Russian, while others, e.g. Bulgarian,  
367 have given mixed results.

368 This approach, therefore, explains variation in RC attachment across languages as the con-  
369 sequence of the interaction of a grammatical distinction, the obligatory presence of a relative  
370 pronoun in RCs, with the contextual saliency difference between NPs which are part of the main  
371 assertion of the sentence (which act as attractor for binding) and those that are not.

372 *Implicit Prosody*. Several experiments have shown that varying the length of the NPs and / or  
373 that of the RC strongly influences RC attachment in complex NPs (Pynte & Colonna, 2000;  
374 Fernández, 2003; Lovrić, 2003; Wijnen, 2004; Quinn et al., 2000; Augurzky, 2005). HA prefer-  
375 ence arises with long RCs (15-a), while short RCs tend to be attached low (15-b)

- 376 (15) a. This is the son of the doctor who runs several marathons a year  
377 b. This is the son of the doctor who runs

378 A number of other studies in different languages has found that the presence of a prosodic break  
379 before the RC strongly influences speakers to attach high Lovrić & Fodor (2000); Lovrić et al.  
380 (2001); Lourenço-Gomes (2005).

381 Fodor (1998a,b, 2002) proposed that these effects are expected if we assume that a default  
382 prosodic contour is projected while reading, and this prosodic representation is able to influence

383 the syntactic choices of the parser.

384 (16) *The Implicit Prosody Hypothesis, IPH*

385 In silent reading, a default prosodic contour is projected onto the stimulus, and it may  
386 influence syntactic ambiguity resolution. Other things being equal, the parser favors  
387 the syntactic analysis associated with the most natural (default) prosodic contour for the  
388 construction. (Fodor, 2002, p.113)

389 Intonational boundaries are more likely to precede longer RCs than shorter RCs, because speak-  
390 ers (and listeners / readers) prefer projecting independent intonational phrases for long RCs,  
391 while they are more likely to dislike intonational phrases composed of short stand-alone RCs.  
392 The presence of a phrase boundary, in turn, creates a HA bias for longer RCs.

393 Besides accounting for the differences in attachment due to relative length of the constituent,  
394 or the presence / absence of a prosodic break before the RC, the IPH was claimed to be able  
395 to account for the cross-linguistic variation in attachment. Variation across languages might be  
396 explained as the by-product of variation in prosodic phrasing imposed by the different grammars  
397 of those languages, i.e. speakers of different languages attach differently because their grammar  
398 projects different prosodic contours over similar stimuli (see Jun 2003 for evidence in favor of  
399 this account). A proper assessment of the IPH in the light of the present findings is beyond the  
400 scope of this paper. See section 3.3 for a short discussion of possible confounding factors intro-  
401 duced by PR-availability.

402  
403 Most of the accounts introduced above were initially designed to deal with variation in at-  
404 tachment preferences, but they quickly expanded and generalized to accommodate a variety of  
405 phenomena (often very successfully). Despite their success in explaining much variation in at-  
406 tachment preferences, but have stumbled over a number of problems and roadblocks.

407 While there is no agreement over which account offers a better explanation of the observed vari-  
408 ation, there is substantial agreement that *no account* proposed so far manages to deal with the  
409 full extent of this variation, i.e. a residual variation across languages and structures is still in  
410 need for an explanation. More importantly, for our present purposes, *no account* proposed so  
411 far recognized that the languages and structures under scrutiny differ in allowing / disallowing  
412 the Pseudo Relative Small Clause structure discussed in the following paragraph. Moreover, the  
413 asymmetric availability of PRs, is a confound present in all the experiments that either allegedly  
414 supported or falsified them. All accounts, therefore, should be reappraised at the light of the  
415 present finding.

416 It is possible that some or all of the problems faced by the accounts reviewed above might turn  
417 out to be epiphenomenal once the role of PRs is recognized and properly taken into account. This  
418 reappraisal is beyond the scope of the present work, but future discussion of these accounts and  
419 the data in support and against them will have to take into account the PR-confound. We hope  
420 the recognition of the role of PRs in attachment will free the theories they have generated from  
421 the burden of explaining the residual variation.

422  
423 In the next section we introduce the PR / RC distinction and attempt to reorder previous  
424 findings at the light of this distinction. While more investigation is certainly needed to properly  
425 appraise the role of PR-availability in attachment preferences, we'll show that a much more  
426 organic picture emerges once this cross-linguistic difference is taken into account.

427 **2. Not all Complementizers are created equal**

428 A standard assumption in the literature on RC attachment is that the syntactic structures under  
429 consideration, both across languages and structure, are equivalent in all the relevant respects,  
430 i.e. instances of Relative Clauses embedded within a complex DP.<sup>7</sup> (17) (a) and (17) (b) are  
431 treated as equivalent and both two way ambiguous. Assuming identity at the grammatical level  
432 necessarily puts all the burden of explanation of the existing variation on the parser, and generates  
433 the problems mentioned above for a theory of universals in parsing.

- 434 (17) a. I saw the son of the doctor that was running  
435 b. Vi al hijo del medico que corría

436 The underlying assumption is that English *that* and Spanish (or Italian / French / Dutch) *que / che*  
437 / *qui / die* are essentially equal in their function. Importantly, however, this assumption is wrong:  
438 the syntactic properties of English *that* are not the same as those of Italian *che* or Spanish *que*.  
439 Complementizers, like Prepositions, are a domain of extreme and often subtle variation across  
440 languages (with respect to e.g. subjacency effects, *that*-trace effects etc.) and a careful analysis  
441 of their combinatorial properties will reveal that even superficially similar and homophonous  
442 Cs like the Italian, French and Spanish *che / que* reveal important differences in their structural  
443 distribution.

444 These distinctions become particularly relevant in the context of complex DPs. In the case  
445 at hand, while the English (18) is two-ways ambiguous in that the RC introduced by *that* can  
446 be attached both to NP1 and NP2, its “counterpart” in Spanish (19), however, is three-ways  
447 ambiguous. As in the English sentence, *que* can introduce a RC attaching either to NP1 or NP2,  
448 but in addition to the RC, it can also introduce a Pseudo Relative Small Clause which attaches to  
449 VP and obligatorily takes NP1 as its subject.

- 450 (18) I saw the son of the doctor that was running  
451 (19) a. Vi al [DP [NP1 hijo del medico] [CP que corría]]  
452 b. Vi al [DP hijo [del [medico [CP que corría]]]]  
453 c. Vi al [SC [DP hijo<sub>1</sub> del medico<sub>2</sub>] [CP que EC<sub>1/\*2</sub> corría]]  
454 I saw the son of the doctor running

455 Pseudo Relatives are a particular type of clausal complement that, despite their name, have little  
456 to nothing in common with Relative Clauses. They are selected by a restricted set of verbs, most  
457 typically by e.g. verbs of perception (e.g. *see* above) and roughly correspond to English *Acc-ing*  
458 constructions, as the gloss to (19-c) indicate.

459 Since the asymmetry between RCs and PRs was never recognized in the preceding litera-  
460 ture on RC attachment, and given the central role that this literature played in the more general  
461 discussion regarding modeling of the human language parser, it is very important to consider in  
462 some details the potential consequences of this asymmetry.

463 Given the size and scope of the literature on RC attachment, a full and fair assessment of these  
464 consequences clearly lies beyond the scope of the present paper. However, we hope to provide  
465 enough evidence, from both previous results and novel data from two experiments in Italian in

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<sup>7</sup>Obviously we are abstracting away from both the difference between Complementizers and Relative Pronouns (Hem-  
forth et al., 1998, 2000b,a), and the role of Referentiality and type of relation between the NPs analyzed in Gilboy et al.  
(1995).

466 support of the claim that the availability of Pseudo Relatives plays a crucial role in resolving  
 467 attachment ambiguities, as demonstrated by our two experiments, and cannot be ignored further.  
 468 Before getting into any of this, however, it is important that we provide a clear characterization of  
 469 the structure under discussion. The following section introduces some fundamental syntactic and  
 470 semantic properties of Pseudo Relatives, discussing in particular how they differ from Relative  
 471 Clauses and their essential similarity with (eventive) Small Clauses in English.

## 472 2.1. Pseudo Relatives

473 Pseudo Relatives (PR) and RCs are string identical, but they differ drastically in their struc-  
 474 tural and semantic properties:<sup>8</sup>Several analyses of PRs have been proposed; importantly for us,  
 475 they all recognize these fundamental differences between PRs and RCs. For concreteness, we  
 476 assume Cinque’s (1992) analysis throughout. Cinque proposes a Small Clause (SC) account of  
 477 PRs (parallel to Declerck’s (1981) tripartite analysis of Small Clauses), which, among other ad-  
 478 vantages, offers a straightforward explanation of the ability of PRs to appear in all contexts in  
 479 which SCs appear. We will assume this to be essentially correct for Italian<sup>9</sup>; nevertheless, the  
 480 availability of PRs across languages, and often across speakers (e.g. in European Portuguese),  
 481 varies considerably.

482 As Cinque (1992) discusses in details, PRs share crucial structural and semantic properties  
 483 with English Small Clauses of the *Acc-ing* type. Just like English SCs, PRs denote events /  
 484 propositions and are subjected to a number of semantic and syntactic restrictions, which do not  
 485 apply to RCs (which denote entities). In this section we briefly summarize these asymmetries.

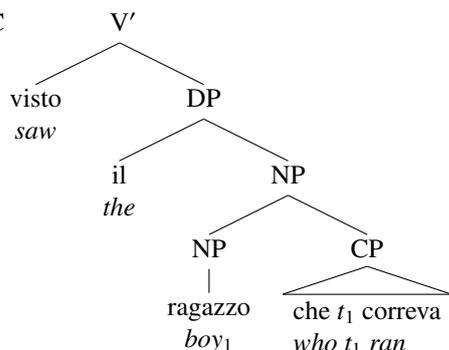
486 (20) illustrates the difference between the PR and RC interpretation of the same string, the  
 487 translation in (20-b) corresponds to the RC interpretation of (20-a), the PR interpretation is illus-  
 488 trated in (20-c). The two structures are displayed side by side in (21). Crucially, in (20-b) the  
 489 main verb takes a DP as its complement and the RC modifies that DP; at the interpretive level this  
 490 is mapped as the perception of an entity/individual having certain additional restrictions specified  
 491 in the RC. In (20-c), on the other hand, the matrix verb takes the whole Pseudo Relative Small  
 492 Clause as its complement, and the DP is the subject of that clause; at the semantic level, we are  
 493 reporting the perception of an event.

- 494 (20) a. Ho visto il ragazzo che correva  
 495 b. Ho visto [<sub>DP</sub> il [<sub>NP</sub> ragazzo [<sub>RC</sub> che correva]]]  
 496 I saw [ the [boy [that ran]]]  
 497 c. Ho visto [<sub>SC</sub> [<sub>DP</sub> il ragazzo] [che correva]]  
 498 I saw [[ the boy] [running]]

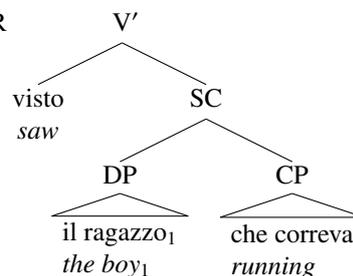
<sup>8</sup>On Pseudo Relatives see: Radford (1975); Graffi (1980); Burzio (1981, 1986); Kayne (1981); Taraldsen (1981); Declerck (1981, 1982); McCawley (1981); Auwera (1985); Guasti (1988, 1992, 1993); Rizzi (1992); Raposo (1989); Cinque (1992); Barros de Brito (1995); Labelle (1996); Rafel (1999); Côté (1999); Koenig & Lambrecht (1999); Koopman & Sportiche (2010), among others. Most examples in this section are taken from the literature review in Cinque (1992).

<sup>9</sup>As Ad Neeleman (pc. 2012) pointed out, this is not literally true as PRs are not available in the SC’s context of *consider* type of predicates (\**Considero Gianni che è un bravo scrittore / I consider Gianni that he is a good writer*). Notice that this restriction also holds for semantically homologues of PRs across languages, e.g. *Acc-ing* constructions in English and Spanish: \**I consider John being a good writer / Considero Juan siendo un buen escritor* and Prepositional Infinitive Constructions in Portuguese (\**Considero o João a ser um bom escritor*). The restriction possibly relates to the eventive nature of PRs, PICs and *Acc-ing* constructions which clashes with the stative properties of *consider* type verbs and has consequences for a theory of SCs, which should distinguish between the stative type allowed with *consider* (*Considero Gianni un bravo scrittore / I consider Gianni a good writer*) and the eventive PR type.

499 (21) a. RC



b. PR



500 Just like other types of clausal complements, PRs are generally selected by a subset of pred-  
 501 icates. Perceptual verbs (*see, hear, feel* etc.) are the most typical example, however, just like  
 502 English SCs, PRs can also freely appear with non-perceptual verbs.<sup>10</sup>

- 503 (22) a. Ho incontrato Gianni che correva  
 504 I met John running  
 505 b. Ho beccato Gianni che rubava  
 506 I caught John stealing  
 507 c. Ho trovato Gianni che cenava con Maria  
 508 I found John having dinner with Mary

509 As mentioned, Cinque (1992), following Declerck (1981), argues convincingly that PRs, like  
 510 SCs of the Acc-ing type, come in 3 different varieties: PR arguments of V (23-a), PR adjunct  
 511 within NP (23-b) and PR adjunct of VP (23-c): (original examples from Cinque 1992, ex. 38 p.  
 512 9)

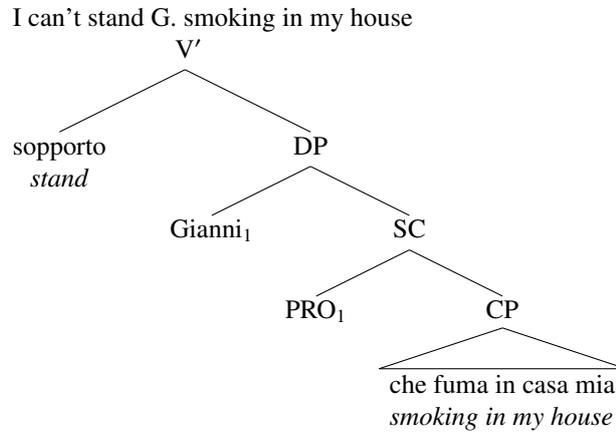
- 513 (23) a. Small Clause complement:  
 514 Ho [<sub>V'</sub> visto [<sub>SC</sub> Mario [che correva a tutta velocità]]]  
 515 I saw Mario that was running at full speed  
 516 b. Small Clause adjunct within NP:  
 517 Ho [<sub>V'</sub> visto [<sub>NP</sub> Mario] [<sub>SC</sub> PRO [che correva a tutta velocità]]]  
 518 c. Small Clause adjunct within VP:  
 519 Ho [<sub>VP</sub> [<sub>V'</sub> visto Mario] [<sub>SC</sub> PRO che correva a tutta velocità]]]

520 Each of these structures correspond to a different semantic interpretation (see below) and, impor-  
 521 tantly, not all PR taking verbs allow all the structures in (23); i.e. not all PR-verbs allow for the  
 522 PR complement analysis in (23-a). All PR taking verbs, however, allow for at least the adjunct  
 523 interpretation. The two types of structural relations are depicted in the trees in (24) and (25).

- 524 (24) PR adjunct within NP  
 525 Non sopporto Gianni che fuma in casa mia  
 526 Neg. stand. 1ST SING G. that smokes in house mine

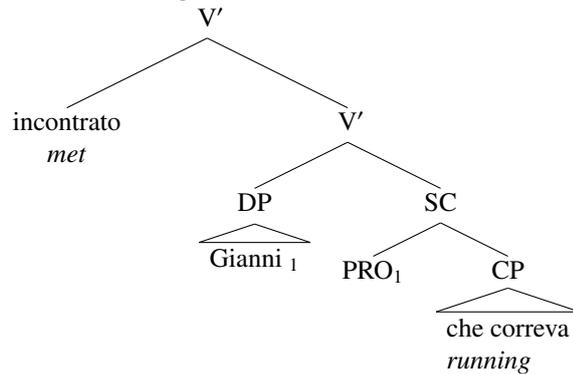
<sup>10</sup>PR-verbs include e.g. *incontrare / meet, acchiappare / catch, trovare / find, sognare / dream, immaginare / imagine, scoprire / discover, imitare / imitate, mimare / mimic, riconoscere / recognize*, among others. PR, and Acc-ing SCs, also appear in a variety of other contexts, e.g.: *Ecco Gianni che arriva / Here is G. that arrives, Non sopporto G. che fuma / I can't stand G. smoking*, see Cinque (1992) for a more comprehensive list.

527  
528



529  
530  
531  
532  
533

- (25) *PR adjunct within VP*  
Ho incontrato Gianni che correva  
have.1ST SING met G. that ran  
I met G. running



534 The examples in (24) and (25) also illustrate one property that clearly distinguishes PRs (and  
535 English SCs) from RCs is that the former, but not the latter, can freely occur with proper names.  
536 With the irrelevant (for the present purposes) exception in which they behave like nouns (26),  
537 proper names cannot be modified with restrictive RCs.

- 538 (26) Which Mary are you talking about? I mean the Mary who came from Alabama.

539 Notice also that in these exceptional cases, an overt determiner is often required. (27-a-c) further  
540 illustrates this property of PRs in Italian, Spanish and French, (27-d) shows that the same string  
541 is ungrammatical in English (notice that a long break between *John* and *ran* might well make  
542 the sentence acceptable, this *appositive* reading, however, is not what we are after and is also  
543 independently available in the other languages under discussion), (27-e) finally illustrates English  
544 Small Clauses of the Acc-ing type, which match PRs interpretation.

- 545 (27) a. Ho visto [<sub>PR</sub> Gianni / il ragazzo che correva]  
546 b. He visto a [<sub>PR</sub> Juan / el chico que corría]  
547 c. J'ai vu [<sub>PR</sub> Jean / le garçon qui courait]

- 548 d. \*I saw John that ran  
 549 e. I saw [<sub>SC</sub> John running]

550 The proper name in (27) prevents the embedded clause to be interpreted as a RC modifier, forcing  
 551 a PR reading of the whole segment (*Gianni che correva / John running*).

552 In the environment of perceptual verbs, complement PRs behave just like complement Small  
 553 Clauses: they project as complements of the matrix V taking the apparent object DP as their  
 554 subject and are interpreted as propositions.

- 555 (28) a. Ho visto Gianni che correva  
 have-1ST SING seen Gianni that ran  
 556 I saw Gianni running  
 557 b. Ho visto che Gianni correva  
 have-1ST SING seen that Gianni ran  
 558 I saw that Gianni ran

559 (28-a) is interpreted as reporting the direct perception of an undergoing event: *I saw an event of*  
 560 *John running*. Direct perception is the fundamental distinction between (28-a) and (28-b); the  
 561 latter but not the former would still be true in a context in which the content of the embedded  
 562 clause had been inferred (from e.g. having discovered that Gianni won some running trophy).  
 563 The same interpretive difference emerges in the English *Acc-ing* constructions, as the translations  
 564 to the examples above clearly show.

565 *Events vs. entities*. (29) and (30) illustrate the semantic distinction between PRs and RCs, while  
 566 DPs modified by RCs denote individuals / entities, PRs denote propositions / events<sup>11</sup> :

- 567 (29) PSEUDO RELATIVE / SMALL CLAUSES COMPLEMENTS  
 568 Gianni ha visto [<sub>PR</sub> la ragazza che correva]  
 569 John saw [<sub>SC</sub> the girl running]  
 570  $\exists s \exists s'$  [see(s) & AGENT(s)(John) & THEME(s')(s) & run(s') & AGENT(s')(the girl)]  
 571 There is an event of seeing and the agent of that event is John and the theme of the event  
 572 is an event of running and the agent of running is the girl / Alternatively: There is some  
 573 event s', which is an event of a girl running, and there is an event s which is an event of  
 574 John seeing s'.  
 575 (30) RELATIVE CLAUSES  
 576 Gianni ha visto [<sub>DP</sub> la [<sub>NP</sub> ragazza [<sub>CP</sub>che correva]]]  
 577 John saw the girl that was running  
 578  $\exists s$  [see(s) & AGENT(s)(John) THEME(the unique girl that ran)(s)]  
 579 There is an event of seeing and the agent of that event is John and the theme of the event  
 580 is the unique girl that ran

581 The PR-complement interpretation (29) reports the perception of an event, i.e. the theme of *see* is  
 582 an eventuality: THEME(s')(s), s = an eventuality (of the running type); the RC interpretation in (30)

<sup>11</sup>The same is true for SCs in English, as the translations show. Everything we'll say about PRs also applies to SCs of the *Acc-ing* type, for ease of presentation from now on we'll simply refer to the former, glosses and translations to the examples however clearly show this correspondence. Similarly, when we talk about SCs we are referring to the "eventive" *Acc-ing* type (i.e. not to SCs of the "stative" type, such as *I [consider [<sub>SC</sub>Mary smart]]*)

583 reports the perception of an entity, i.e. the theme of *see* is an ordinary individual: THEME(x)(s),  
 584 x = an individual (the girl). The PR-adjunct within VP parse derives a very similar (though not  
 585 identical) interpretation in which importantly the embedded event also has to unfold within the  
 586 same temporal window of the matrix event. For example: *Ho incontrato la ragazza che correva*  
 587 */I met the girl running* requires the meeting event and the running event to overlap in time, i.e.:  
 588 *I met the girl while she was running*. The PR-adjunct within NP parse, on the other hand, is  
 589 recognizable as imposing a temporal modification on the NP itself. For example: *Non sopporto*  
 590 *Gianni che fuma /I can't stand John smoking*, does not have either the restrictive *the one G. that*  
 591 *smoke* nor the appositive *I can't stand John, who smokes*; but rather means that I can't stand John  
 592 *when* he is smoking. This is similar to other types of adverbial NP-modifiers, e.g.: *Non sopporto*  
 593 *Gianni vestito da boy scout /I can't stand J. dressed as boy scout*, which

594 The difference between PRs and RCs is clear, especially once we consider that the RC inter-  
 595 pretation in (30) is true even if John didn't actually see the girl run (or didn't meet her while she  
 596 was running), all that is required is that the girl that John saw did run at some point in time, cf.  
 597 (31):

598 (31) Domani Gianni vedrà la ragazza che ha corso la maratona di beneficenza ieri RC / \*PR  
 599 Tomorrow John will see the girl that ran.PERFECTIVE the charity marathon yesterday.

600 (31) is a perfectly fine sentence even if it is clearly not possible to perceive tomorrow an event  
 601 which took place last week. (31), however, cannot be interpreted as a PR, due to the temporal  
 602 (and aspectual) mismatch between the matrix and the embedded clause (see below). Notice that  
 603 this is obviously true also of strings that are ambiguous between a reduced RC and a SC, which  
 604 can be disambiguated (creating an interesting garden path effect) by mismatching the Tense in  
 605 the matrix verb with a temporal modifier in the embedded clause and thus forcing the reduced  
 606 RC reading:

607 (32) I saw the girl dancing at the gala tomorrow RC / \*SC  
 608 = I saw the girl that will be dancing at the gala tomorrow

609 Arguably, (32) is hard to parse because it is originally projected as a SC, this reading, however,  
 610 is incompatible with the temporal mismatch and the parse needs to be revised.

611  
 612 The contrast in (33) and (34) makes use of the difference between animate (chi / who) and  
 613 inanimate (ciò) pro nominals further illustrate this semantic difference between RCs and PRs.  
 614 Entities, in fact, can obviously be both animate or inanimate. Events, on the other hand, can-  
 615 not be interpreted as animate, which means that they can only be referred to with inanimate  
 616 pronominals.

617 (33) a. Chi<sub>i</sub> ho visto è [<sub>DP/\*PR</sub> il ragazzo<sub>i</sub> che correva]  
 Who have-1<sup>st</sup>p.sing. saw is the boy that ran  
 618 Who I saw is the boy that ran  
 619 b. \*Chi ho visto è Gianni che correva  
 Who have-1<sup>st</sup>p.sing. saw is Gianni that ran  
 620 \*Who I saw is John running  
 621 c. Chi<sub>i</sub> ho visto è [<sub>DP/\*PR</sub> il Gianni<sub>i</sub> che correva]  
 Who have-1<sup>st</sup>p.sing. saw is the Gianni that ran  
 622 Who I saw is the John that ran

623 The animate *wh*-pronoun *chi / who* in (33-a,b) can obviously only be used to refer to an animate  
 624 entity. In (33-a), *chi / who* is free to refer to *il ragazzo / the boy*, an animate entity modified by  
 625 the RC *that ran*. (33-a) and (33-b) are minimally different: a proper name (Gianni) replaced  
 626 the definite description *the boy*. (33-b), however, is completely unacceptable, even if a potential  
 627 animate referent is present in the clause (i.e. Gianni). As explained above, the proper name  
 628 prevents the embedded clause to be interpreted as a RC modifier and forces a PR reading. The  
 629 ungrammaticality of (33-b) is generated by the impossibility of simultaneously satisfying two  
 630 conflicting requirements: i. the animate pronoun, rules out a PR parse by requiring the object of  
 631 see to be an animate DP; and ii. the proper name rules out a RC reading and forces a PR parse, in  
 632 which the complement of see is obviously an inanimate event. In this structure, the matrix verb  
 633 (*see*) takes the whole embedded clause (*Gianni che correva / John running*) as its complement,  
 634 i.e. *I saw an event of John running* denotes an event and not an animate entity. This is why we  
 635 can't refer to it with the animate *chi / who*.

636 The exact opposite pattern emerges once we replace the animate pronominal with an inani-  
 637 mate one: *ciò / what* in (34-c,d) can only refer to inanimate referent. The only inanimate referent  
 638 available is the whole clause “*Gianni che correva*”, which forces it to be interpreted as a PR. As  
 639 the glosses to (34-b) indicate, this is not a quirk due to the presence of a proper name: even with  
 640 NPs that would otherwise accept a RC (*the boy*) a SC reading is the only available option.<sup>12</sup>

- 641 (34) a. Ciò<sub>i</sub> che ho visto è [<sub>PR/\*RC</sub> Gianni che correva]<sub>i</sub>;  
 642 What that have-1<sup>st</sup>p.sing. saw is Gianni that ran  
 643 What I saw is Gianni running  
 644 b. Ciò<sub>i</sub> che ho visto è [<sub>PR/\*RC</sub> il ragazzo che correva]<sub>i</sub>;  
 645 What that have-1<sup>st</sup>p.sing. saw is the boy that ran  
 646 What I saw is the boy running / \*that ran

645 This contrast further shows that the string “*Gianni che correva*” is a constituent, which can  
 646 be replaced with an inanimate pronoun and also Clefted (35-a) and Topicalized (35-b):

- 647 (35) a. È Gianni che correva che ho visto <Gianni che correva>!  
 648 It is John running that I saw  
 649 b. Gianni che corre dovresti vedere <Gianni che corre> John that runs you should see  
 650 (36) a. [<sub>PR/\*RC</sub> Gianni e Mario che corrono]<sub>i</sub> è<sub>i</sub> un evento da non perdere  
 651 John that runs is an event not to miss  
 652 John running is an unmissable event  
 653 b. C'è una cosa<sub>i</sub> che non sopporto, [<sub>PR/\*RC</sub> Gianni e Mario che mi fumano in faccia]<sub>i</sub>;  
 654 (Cinque, 1992, ex. 39, p. 10)  
 655 There's one thing that I can't stand, Gianni and Mario that smoke in my face  
 656 There's one thing that I can't stand, Gianni and Mario smoking in my face  
 657 c. Ho visto [<sub>PR/\*RC</sub> Gianni che correva]<sub>i</sub>, il che<sub>i</sub> mi ha sorpreso  
 658 I saw Gianni that ran, which surprised me  
 659 I saw Gianni running, which surprised me  
 660 d. [<sub>PR/\*RC</sub> Gianni e Mario che mi fumano in faccia]<sub>i</sub> proprio non lo<sub>i</sub> sopporto.  
 661 Gianni and Mario that smoke in my face, I really cannot stand it.clitic.sing

<sup>12</sup>This is a good test to distinguish Complement from Adjunct PRs: as the sole NP is the complement of V in adjunct PRs, reference with inanimate *ciò* is not allowed (except in the irrelevant cases in which an inanimate object is used):  
 \**Ciò che ho incontrato e' Gianni che correva / \*What I met is John running.*

662 Notice in each of the examples in (36) the agreement mismatch between the plural *Gianni and*  
 663 *Mario* and the singular marking on matrix copula *è, una cosa*. the propositional *pro*-form *il che*  
 664 and the clitic *lo*, which shows that the subject of the matrix clause is the whole PR (a singular  
 665 event) and not the coordinated DP.

666 Several other syntactic and semantic tests can be applied to show the fundamental differences  
 667 between PRs and RCs. In the remainder of this section we discuss a few of these crucial distinc-  
 668 tions. In the discussion that follows we'll often use proper names to ensure that we are dealing  
 669 with a PR and not with an RC, however, as the examples above show PRs are perfectly available  
 670 with other types of DPs (e.g. the boy). Syntactically, the differences between RCs and PRs are  
 671 extremely clear, among others:

- 672 i. Just like SCs, PRs cannot be construed with objects and are only available with embedded  
 673 subjects (37-a), this restriction obviously doesn't apply to RCs (37-b,c):

- 674 (37) a. \*Luigi ha visto [<sub>PR</sub> Gianni<sub>i</sub> che Maria baciava EC<sub>i</sub>]  
 675 Luigi saw Gianni that Maria kissed EC  
 676 cf. \*Luigi saw John Mary kissing EC  
 677 b. Luigi ha visto [<sub>textscdp</sub> il ragazzo [che <ragazzo> ha baciato Maria]]  
 678 Luigi saw the boy that <boy> kissed Mary  
 679 c. Luigi vive con il ragazzo che Maria ha baciato <ragazzo>  
 680 Luigi lives with the boy that Mary kissed <boy>

681 In SCs and PRs, what appears to be the direct object of the matrix clause is the subject  
 682 of the embedded clause. The interpretation as object of the SC is therefore banned. This  
 683 restriction holds for all types of SCs.

- 684 ii. In PRs, but not in RCs, the matrix event and the embedded event are interpreted as un-  
 685 folding within the same temporal window. For these reasons the formers are subject to  
 686 strict restrictions in Tense and Aspect: Tense marking in PRs have to obey strict require-  
 687 ments imposed by the Tense expressed in the matrix verb (38-a),<sup>13</sup> have to occur in the  
 688 imperfective form (38-b) and more generally are restricted to undergoing events and can-  
 689 not denote properties (38-c). This last restriction parallels the requirement for these type  
 690 of SCs to appear in the progressive form in English, as progressive provides the required  
 691 imperfectivity<sup>14</sup>. The example in (38-a) illustrates the case of temporal mismatch, the sen-  
 692 tence reports an event of *seeing* which happened in the past and the THEME of this event  
 693 is an event of *Gianni running*, which is marked with a future tense, the result is clearly  
 694 meaningless and totally ungrammatical. The corresponding RC form (38-b), is obviously  
 695 perfectly well-formed, since here the THEME of the matrix event is the individual “*the boy*  
 696 *who will run*”. (38-c) is ungrammatical because it reports the perception of a state (Gianni  
 697 being home) as the consequence of an event which can only be inferred (running) and as

<sup>13</sup>Tense-matching does not involve strict *identity* of Tense. In certain contexts, for example, it's possible to have PRs in the presence of *future* Tense marking on the matrix verb (*Domani vedrò Gianni che corre / Tomorrow I will see John that runs*), for the PR reading to survive, however, the embedded verb has to appear in the *present* and not the future. Thus PRs in Italian have to appear in the imperfective when the matrix T is past and in the present in all other cases.

<sup>14</sup>The same progressive form is found in a variety of other languages, including some varieties of Brazilian Portuguese, Spanish and several dialects of Italian, e.g. Sardinian, see also [Casalicchio 2013](#) for an excellent recent discussion of these different forms in Northern Italian dialects

698 such cannot be reported as being directly perceived. The RC version (38-d) is obviously  
 699 acceptable as the perception of an individual is reported. (38-e) refers to a property of  
 700 the individual Gianni, i.e. his knowledge of English, which, seemingly, cannot be directly  
 701 perceived but only inferred, which excludes the PR reading and requires the use of indirect  
 702 perception forms: *I saw that John knows English*. Once again the RC version of this clause  
 703 (38-f) is perfectly acceptable.

- 704 (38) a. \*Ho visto [<sub>PR</sub> Gianni che correrà]  
 705 I saw.PAST Gianni that run.FUT  
 706 b. Ho visto [<sub>DP</sub> il ragazzo [che correrà]]  
 707 I saw/PAST the boy that run/FUT  
 708 c. \*Ho visto [<sub>PR</sub> Gianni che è corso a casa ]  
 709 I saw Gianni that ran.PERF home  
 710 d. Ho visto [<sub>DP</sub> il ragazzo che è corso a casa]  
 711 I saw the boy who ran home  
 712 e. \*Ho visto [<sub>PR</sub> Gianni che conosce l'Inglese]  
 713 I saw Gianni that knows English  
 714 I saw G. knowing English.  
 715 f. Ho visto [<sub>DP</sub> il ragazzo che conosce l'Inglese]  
 716 I saw the boy who knows English

717 iii. PR occur with C-elements such as *che / que / qui* but not with genuine Relative Pronouns  
 718 which are restricted to RCs;

- 719 (39) \*Ho visto Gianni il quale correva  
 720 I saw Gianni that<sub>REL-PRO</sub> ran

721 iv. While RCs modify NPs, and as such can appear in any environment in which NPs can  
 722 appear, PRs are selected by a subset of predicates and therefore appear in a much more  
 723 restricted set of contexts:

- 724 (40) a. Ho incontrato il ragazzo che correva PR / RC  
 725 have.1ST.SING met the boy that ran  
 726 I met the boy running  
 727 b. Vivo con il ragazzo che correva RC only  
 728 lived.1ST.SING with the boy that ran  
 729 I lived with the boy (that was) running

730 v. PRs freely occur in all contexts which select *eventive* SCs (see [Cinque, 1992](#), ex. 23-30  
 731 for a full list):

- 732 (41) ADJUNCT SCs PREDICATED OF AN OBJECT  
 733 a. Mangiò la pizza [<sub>SC</sub> PRO [calda]]  
 734 He ate the pizza hot  
 735 b. Mangiò la pizza [<sub>SC</sub> PRO [che stava ancora fumando]]  
 736 He ate the pizza that it was still smoking

- 737 (42) ADJUNCT SCs PREDICATED OF A SUBJECT  
 738 a. Gianni lasciò la stanza [<sub>SC</sub> PRO [ubriaco]] G. left the room drunk  
 739 b. Gianni lasciò la stanza [<sub>SC</sub> PRO [che era ancora sotto gli effetti dell'alcohol]]  
 740 G. left the room that he was still under the effects of alcohol

741 vi. As mentioned above, PRs and SCs, but not RCs are available with proper names. This is  
 742 due to the fact that in PRs and SCs the DP and the “*che*-clause” stand in a subject-predicate  
 743 relation and not a N-modifier relation.

744 A (non comprehensive) list of asymmetries between PRs and RCs is summarized in Table 3.

Property	RCs	PRs
Refers to individuals	✓	✗
Available w. objects	✓	✗
Available w. Rel. Pronouns	✓	✗
NP modifier	✓	✗
Available w. Proper Names	✗	✓
Available in SC environments	✗	✓
VP modifier	✗	✓
Aspectual restrictions	✗	✓
Refers to propositions	✗	✓

Table 3: Summary of asymmetries between PRs and RCs

745 2.2. PRs and ‘attachment’

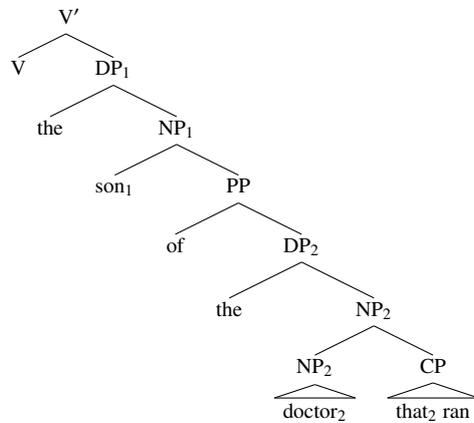
746 In the preceding section we have established that the grammar of some languages (e.g. Italian  
 747 and Spanish) but not others (e.g. English) display an ambiguity between Relative Clauses and  
 748 Pseudo Relatives and we have illustrated the deep structural and interpretive differences of these  
 749 two types of sentences. The PR/RC ambiguity was not previously recognized in the literature  
 750 on RC attachment. It is now time to ask how will the parser deal with this asymmetry and  
 751 more specifically what consequences might this have on the interpretation of RCs in complex  
 752 NP environments.

- 753 (43) a. RC READING  
 754 Ho [<sub>V</sub> visto [<sub>DP1</sub> il ragazzo [<sub>CP</sub> che t correva]]]  
 755 b. PR READING  
 756 Ho [<sub>V</sub> visto [<sub>SC</sub> [<sub>DP1</sub> il ragazzo ]][<sub>CP</sub> che correva]]]

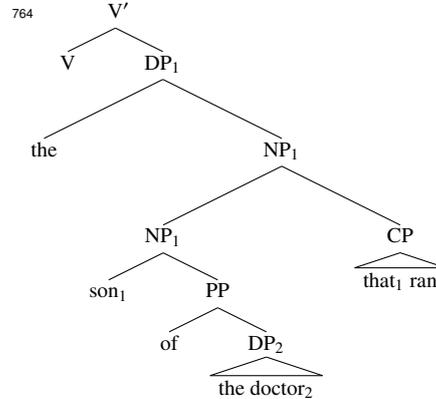
757 This distinction, which at first sight and in the context of a simple DP might appear minimal,  
 758 is bound to have consequences for attachment in the context of complex DPs. When the matrix  
 759 verb takes an NP as its object, the *che* clause is projected as a RC, and the parser has to choose  
 760 whether to attach the RC to the first or the second NP (44-a,b).

- 761 (44) a. Low Attachment

762



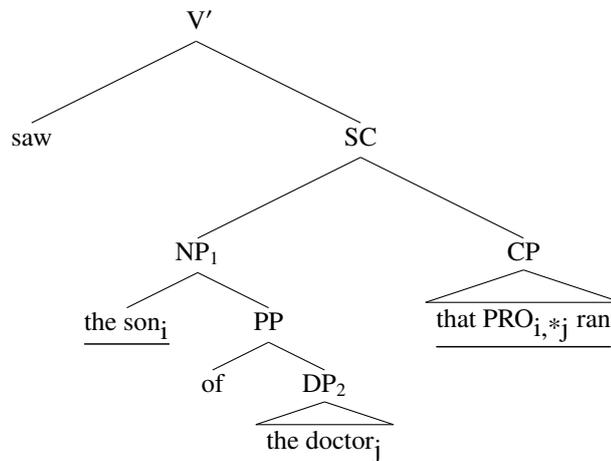
763 b. High Attachment



765 *No attachment ambiguity with PRs.* However, when the matrix verb takes a PR / SC as its com-  
 766 plement, as in (46), the ambiguity is gone and the only possible subject for the embedded verb is  
 767 DP1.

- 768 (45) PR READING: DP1 ONLY ACCESSIBLE SUBJECT  
 769 Ho [<sub>V</sub> visto [<sub>SC</sub> [<sub>DP1</sub> il figlio<sub>i</sub> [<sub>PP</sub> del [<sub>DP2</sub> medico<sub>j</sub>]]] [<sub>CP</sub> che<sub>i/\*j</sub> correva]]]  
 770 Have-1ST SING seen the son of the doctor that ran-IMPERF  
 771 I saw the son<sub>i</sub> of the doctor<sub>j</sub> running<sub>i/\*j</sub>

772  
 773 (46)



774 This is more clearly visible when a PR reading is forced. As mentioned above, it is possible  
 775 to force a PR reading of the *che* string by making overt reference to it as an event, e.g. using  
 776 a definite description (47-a) or a pronominal form which can only refer to propositions(47-b)  
 777 (Radford, 1977). Similarly, we can force a RC reading using pronominal forms which necessarily  
 778 restrict to individuals (48).

- 779 (47) a. [La figlia<sub>1</sub> del postino<sub>2</sub> che corre<sub>1/\*2</sub> (da sola / \*da solo)]<sub>i</sub> è un evento<sub>i</sub>  
 the daughter-of-the postman that runs (by herself / by himself) is an event  
 780 eccezionale  
 exceptional  
 781 the daughter<sub>1</sub> of the postman<sub>2</sub> running<sub>1/\*2</sub> (by herself / \*by himself) is an excep-  
 782 tional event  
 783 b. Ciò<sub>i</sub> che ho visto è [la figlia<sub>1</sub> del postino<sub>2</sub> che correva<sub>1/\*2</sub>  
 What that have-1ST SING seen is the daughter of the postman that  
 784 da sola / \*da solo]<sub>i</sub>  
 ran by herself / by himself  
 785 What I saw is the daughter<sub>1</sub> of the postman<sub>2</sub> running<sub>1/\*2</sub> by herself / \*by himself

786 As both the Italian and the English translations show, when a PR / SC reading is forced (47),  
 787 DP2 is not an accessible subject for the Small Clause: not only DP2 cannot be interpreted as  
 788 the subject of the embedded verb, but the presence of an optional, gender marked, modifier (by  
 789 himself / herself) renders the sentence ungrammatical and openly shows the restriction to the  
 790 higher DP1. This gives the “illusion” of High Attachment, but actually, no preference is at stake  
 791 here: DP1 is the only available subject for the embedded verb, i.e. the only grammatical option.  
 792 When an RC reading is forced, obviously, both NPs are potential subjects of the embedded verb  
 793 (48).

- 794 (48) Chi ho visto è la figlia<sub>1</sub> del medico<sub>2</sub> che<sub>1/2</sub> corre (da solo / da sola)  
 795 Who have-1ST SING seen is the daughter of the doctor that runs (alone)  
 796 Who I saw is the daughter of the doctor \*(that) runs by himself / herself

797 The same is true for PR-adjunct, as (49) shows, it is possible to force a PR reading by co-  
 798 ordinating the *che*-clause with a preceding unambiguous Small Clause. Also in this case, LA is  
 799 not a grammatical option, i.e. the same restriction to NP1 we have seen with PR-complements is  
 800 also present with PR adjuncts.

- 801 (49) Odio incontrare la figlia<sub>1</sub> del postino<sub>2</sub> ubriaca<sub>1/\*2</sub> e che parla da sola / \*da solo  
 802 I hate to meet the daughter<sub>1</sub> of the postman<sub>2</sub> drunk.FEM and that speaks by herself / \*by  
 803 himself  
 804 I hate to meet the daughter<sub>1</sub> of the postman<sub>12</sub> drunk<sub>1</sub> and smoking by herself / \*by  
 805 himself

806 Summarizing, previous research on RC attachment preferences in complex DP did not take  
 807 into account the grammatical distinction between RCs and PRs. The distinction has potentially  
 808 confounded previous results and lead to mistaken generalizations. Importantly, “High Attach-  
 809 ment” is forced in the environment of PRs; therefore eliminating a PR reading is essential to  
 810 avoid confounds when testing RC attachment preferences in complex DP environment. In the  
 811 next section we claim that the following generalization holds: everything else being equal, i.e.  
 812 when factors such as prosody and referentiality are controlled for, once a PR reading is prevented,  
 813 a Low Attachment preference emerges both across languages and syntactic structures.

814 **3. Variable Syntax, Uniform Parsing**

815 We propose that everything else being equal, once the distinction between RCs and PRs is  
816 taken into account, the following generalizations hold:

817 (50) A. Low Attachment preference is observed, across languages and structures, with  
818 genuine restrictive RCs, i.e. when PRs are not available.

819 B. High Attachment preference is observed in languages and structures which allow  
820 for a PR / SC reading.

821 Support for the generalizations in (50) can obviously come only from empirical work. In what  
822 follows we will discuss the rationale behind them and then present the empirical arguments in  
823 their support.

824 The generalization in (50-A) has its roots in the universal nature of locality principles, be it  
825 Late Closure, Recency, Merge Right or otherwise. Locality is a natural principle of economy of  
826 computation, whose universality and appeal are so strong that when apparent counterexamples to  
827 this universal principle are found, as in the RC-attachment literature at hand, a massive amount  
828 of work is rightly dedicated to explain their origins. We should underline that the universality of  
829 a principle doesn't imply that that principle will always *win* over other factors such as e.g. *refer-*  
830 *entiality*. As we have seen above, several factors are ultimately involved in deciding attachment  
831 and many of them can apparently override locality (see e.g. [Altmann et al. 1998](#) on the effects of  
832 context on Late Closure). In other terms: the potential interference of syntactic external factors  
833 in attachment does not imply that locality doesn't apply universally *within* syntax. The biggest  
834 problem with the residual cross-linguistic variation found in the literature on RC attachment is  
835 that it questioned the universality of this principle, and not that it showed that other factors could  
836 take priority over it.

837 As for (50-B), the first thing to be recognized is that when PRs are available, the parser is not  
838 dealing with an issue of RC-attachment any longer, as firstly it will have to decide whether to  
839 project the relevant string as a RC or a PR. This type of choice is not regulated by Late Closure  
840 types of principles, but by principles of the *Minimal Attachment* type.<sup>15</sup> While both principles  
841 can at a certain level of abstraction be seen as two instantiation of a universal principle of lo-  
842 cality, favoring closer, most accessible, targets (with distance defined over different variables:  
843 structural complexity, structural distance, recency, frequency), they are clearly involved in dif-  
844 ferent processes. The Late Closure type relates more directly to Recency effects applying *within*  
845 *the string just parsed*, i.e. attach  $\alpha$  to the most recent element capable of carrying a particular  
846 relation  $\alpha$ ; the Minimal Attachment type relates to the relative ease of projecting a given string  
847 as a constituent of type A or B, i.e. when deciding between two, or more, alternative parse for a  
848 constituent, choose the simplest option. We propose the following:

849 (51) PR-first Hypothesis: When PRs are available, everything else being equal, they will be  
850 preferred over RCs.

851 This preference arises because PRs are both structurally and presuppositionally simpler than  
852 RCs:

---

<sup>15</sup>Minimal Attachment: Attach incoming material into the phrase-marker being constructed using the fewest nodes consistent with the well-formedness rules of the language.

853 • From a structural perspective, i.e. for Minimal Attachment, Small Clauses are by definition  
854 smaller, and arguably less complex, than full Relative Clauses. The heavy constraints  
855 imposed on PRs, but not on RCs, in terms of Tense, Aspect, type of argument etc. analyzed  
856 in the preceding section, point to the presence of a richer and more articulated functional  
857 domain in the latter than the former.

858 • From a Reference Theory perspective (Crain & Steedman, 1985; Altmann & Steedman,  
859 1988), the RC analysis requires building a context which contains more referents than the  
860 SC analysis. The felicitous utterance of a sentence like: *Ho visto il ragazzo che correva*  
861 */ I saw the boy running*, as a RC presupposes a context containing a set of boys. The PR  
862 interpretation, on the other hand, only requires to introduce an event of running as the  
863 theme of see, and this event has a boy as its agent, i.e. only one boy is presupposed in the  
864 PR context.<sup>16</sup>

865 Importantly, because of their tripartite nature (on which see Declerck 1981; Cinque 1992 and  
866 the discussion in section 2.1 above), PRs become an option for the parser at multiple points: i. at  
867 the offset of the verb, as a complement SC; ii. at the offset of the NP, as a PR adjunct within VP  
868 or NP. Consider the options of the parser at these two points:

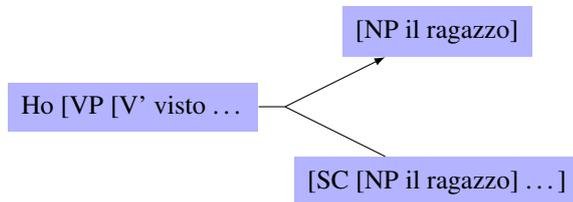
869 i. In the presence of a PR taking verb the parser needs to choose between a NP continuation  
870 or a SC continuation. Much literature in psycholinguistics has shown a strong tendency  
871 for the parser to posit nominal complements over clausal complements (cf. the well-know  
872 garden-path effects with: *the students knew the answer was in the back of the book*, Ferreira  
873 & Clifton (1986); Traxler et al. (1998); Pickering et al. (2000)). It should be noted that  
874 this literature has dealt with a relatively small set of verbs that allow clausal complements,  
875 and to our knowledge it has never dealt with the type of verbs we are presently discussing,  
876 nor with the choice between NP and SC complements. It is an empirical question (to be  
877 addressed in future work) whether this preference also extends to the present environment.  
878 <sup>17</sup>

879 If this preference also extends to SCs, we might expect the parser to prefer a NP comple-  
880 ment parse:

---

<sup>16</sup>The two types of *principles* can obviously coexist and interact and it is reasonable to suppose that, as in other such cases, contextual effects might override structural principles of parsimony. The important question, as usual, is whether this influence can be found at all stages of processing or only at a later stage. This is obviously an empirical questions that we reserve to address in future work. The only data using context in RC attachment, that we are aware of, comes from Desmet et al. (2002b) who manipulated the preceding context in Dutch and did *not* find it to interfere with attachment preferences (the usual generalized HA preference emerged), at least not at the earliest stages of parsing. This results, however, like all the others considered so far, did not take into account possible effects of PR availability, and therefore do not allow strong conclusions to be drawn.

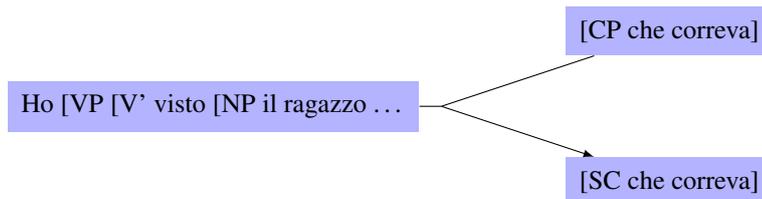
<sup>17</sup>It should also be kept in mind that many PR-taking verbs also allow a number of other clausal complements, which raises the question (not addressed in this paper) of whether the parser deals with these multiple possibilities. For a detailed discussion of clausal complementation see Moulton (2009). Here is a list of different types of clausal complements introduced by perceptual verbs (from Moulton, 2009, ex.1, p.2): i. *John saw Fred leave early*, bare infinitive, direct perception; ii. *John saw Fred leaving early*, gerundive, direct perception; iii. *John saw Fred owning a house*, gerundive, imaginative; iv. *John saw Fred to be a party-pooper*, infinitive, belief; v. *John saw that Fred left early*, finite clause, factive.



881

882 Importantly, at this stage there's no difference between PR languages and non-PR lan-  
 883 guages like English, i.e. the English parser will also have to decide whether to parse NP as  
 884 the direct object of *see* or as the subject of a Small Clause. If the parser considers multiple  
 885 options in parallel, this might explain the relatively high number of HA preferences in LA  
 886 languages like English (around 40%).

- 887 ii. Assuming a preference for complement NP complements over clausal complements, a  
 888 cross-linguistic difference arises when the parser hits the complementizer: in languages  
 889 allowing PRs, in fact, it will be presented with a choice between RC and PR, as there is  
 890 still the possibility to interpret the *che*-clause as a PR adjunct. Importantly, there are good  
 891 reasons to postulate that a PR / SC parse is less complex, both structurally and interpre-  
 892 tively, than the RC parse.



893

894 Whether the best way to capture Minimal Attachment is in terms of number of nodes, relative  
 895 accessibility of the contextual representation associated with each alternative, or as a function of  
 896 frequency / predictability of each parse, or even as a combination of these factors, is beyond the  
 897 scope of this work, and in many ways irrelevant to the point we arguing for, especially since  
 898 different approaches would probably converge on this prediction. What is relevant to the present  
 899 point is that some principle akin to Minimal Attachment is at stake here, we argue that when  
 900 a simpler option is available: restrictive relatives are not the preferred parse in the absence of  
 901 a context supporting the relevant presupposition. There is potentially a third reason, based on  
 902 principles such as *Relativized Relevance*, of why PRs should be preferred to RCs: with the for-  
 903 mer, but not with the latter, the *che*-clause is conveying additional information about the event  
 904 described in the matrix clause, i.e. the most salient part of the clause in the discourse representa-  
 905 tion.

906  
 907 The hypothesis in (51) is easily falsifiable since it makes several strong predictions, both  
 908 about offline judgments and online measures, a few of which are listed below. All else being  
 909 equal (i.e. in the absence of strong biases introduced by prosodic, contextual, lexical and other  
 910 factors):

- 911 i. High Attachment preferences will emerge whenever PRs are available;
- 912 ii. RC-only continuations should be harder to parse than PR-compatible continuations, e.g.  
 913 we expect (52-a) to be easier to parse than (52-b):

- 914 (52) a. Ho visto il ragazzo che correva la maratona  
 915 I saw the boy that ran the marathon  
 916 PR: I saw the boy running the marathon / RC: I saw the boy that ran the  
 917 marathon  
 918 b. Ho visto il ragazzo che correrà la maratona  
 919 RC only: I saw the boy that will run the marathon

920 iii. In the context of complex NPs, HA disambiguation should be easier to parse for PR-verbs  
 921 than RC-only verbs.

922 iv. High Attachment preferences will also be observed in any context allowing an ambiguity  
 923 between a reduced RC and a correlate of PR interpretation, e.g. the Acc-*ing* construc-  
 924 tion in English (*I saw the son of the doctor (that was) running*), Prepositional Infinitive  
 925 Constructions in Portuguese (PIC, Raposo 1989: *Vi o filho do medico a correr*).<sup>18</sup>

926 That a SC reading is preferred in Acc-*ing* constructions in English, is visible also from the  
 927 following garden-path effect:

928 (53) I saw the daughter of the woman dancing tomorrow at the gala.

929 The local ambiguity between a SC and a reduced RC reading, seems to be resolved in favor of the  
 930 former: introducing a temporal mismatch between the *dancing* event (tomorrow) and the matrix  
 931 event *saw* (past) forces reanalysis of the embedded clause as a RC (temporal mismatch is not  
 932 allowed with SCs and PRs):

933 (54) I saw the daughter of the woman (that will be) dancing tomorrow at the gala.

934 (55) I saw the ballerina dancing kiss the man

935 (56) Ho visto la ragazza che ballava baciare un uomo

936 In the remainder of this paper, we show that (at least some of) these predictions are corrob-  
 937 orated by both previous findings and novel experimental results. Variation across languages is  
 938 discussed first 3.1, followed by variation across structures 3.2. Finally, two novel experiments  
 939 on attachment preference in Italian are presented in section 4. The results from the experiments,  
 940 which manipulate PR availability, strengthen the generalizations in (50).

### 941 3.1. Explaining variation across languages

942 Since the pioneer study of Cuetos & Mitchell (1988), a great number of studies have investi-  
 943 gated RC attachment across several languages. The pattern found, or better, the lack of a pattern  
 944 has puzzled psycholinguists for more than twenty years. English speakers appear to behave like  
 945 Romanian and Basque speakers (among others) in showing a preference for LA, while Dutch  
 946 speakers match Italian, Greek and Japanese in their preference for HA.

947 Importantly, it should be pointed out that (all, to our knowledge) previous work on RC at-  
 948 tachment used subject RCs, which, as mentioned above, are the only type compatible with a PR  
 949 reading. More in depth investigation is certainly needed to settle this issue, still it seems hard to  
 950 reduce the strength of the above correspondence to random factors. Pending further research on

<sup>18</sup>On PIC see Grillo et al. (2012) and Grillo et al. (2013a) on Acc-*ing* constructions.

Language	Attachment	PRs
English	Low	*
Romanian	Low	*
Basque	Low	*
Chinese	Low	*
German (?)	High/Low	*
Russian (?)	High	*
Bulgarian (?)	High/Low	*
Norwegian (?)	Low	✓
Swedish (?)	Low	✓
Spanish	High	✓
Galician	High	✓
Dutch	High	✓
Italian	High	✓
French	High	✓
Serbo-Croatian	High	✓
Japanese	High	✓
Korean	High	✓
Greek	High	✓
Portuguese	High	✓

Table 4: Attachment Preferences and PR availability

951 Russian, Swedish and Norwegian a reanalysis of the mixed results in Bulgarian and German (but  
952 see discussion below), we take the generalization in (50) to be strongly supported by the empir-  
953 ical results.<sup>19</sup> A few notes, and a general recommendation, are in order when dealing with sets  
954 of data of this magnitude and heterogeneity (for methods, analyses etc.), since we often do not  
955 have the possibility to assess all characteristics of the studies that produced them. This is particu-  
956 larly important in the present context in which a novel, previously unnoticed, factor is introduced  
957 into the equation and was not taken into account when these studies were designed/conducted.  
958 This means that such data should be handled with care both to support and to falsify a theory.  
959 In the remainder of this section we briefly discuss some of the potential issues that arise when  
960 considering such large dataset in the absence of all information about the methods employed.

961 First of all, remember that several factors can determine the availability of PR: not only the  
962 semantic properties of the matrix verb (does the matrix V subcategorize for PRs?), but also the  
963 temporal and aspectual properties of the matrix and embedded verb. Remember further that  
964 different kinds of PRs exist (i.e. argument/adjunct) and different types of verbs differ in their  
965 ability to combine with them (e.g. perceptual verbs can take both argument and adjunct PRs,

<sup>19</sup>It is worth noticing that all three of these languages make use of obligatory relative pronoun in the context of RCs. Additionally, all three have writing system which force the use of a comma in between the NP and the relative pronoun. Importantly, the presence of a comma has been shown to have a strong influence on reading, particularly in disambiguating ambiguous structures (Hill & Murray, 1998). Commas have also been shown to elicit a Closure Positive Shift component in ERP, a component associated with the processing of prosodic boundaries (Steinhauer et al., 1999; Steinhauer & Friederici, 2001; Steinhauer, 2003). The presence of commas, by triggering a prosodic boundary between N2 and the relative pronoun, might have an influence on attachment, crucially in the direction of HA. Nevertheless, see Augurzky (2005), p. 99 for results in German which do not support this argument.

966 whereas verbs of the *incontrare / meet* kind only take adjunct PRs; see section 2.1 and Cinque  
967 1992 for discussion). For this reason to obtain a complete picture we need to proceed to a more  
968 detailed study of previous results, one that takes into account the fine structural and semantic  
969 properties of the stimuli used.

970 The problematic data from Swedish and Norwegian, as well as the unproblematic ones from  
971 Romanian come all from Ehrlich et al. (1999), a study which is often cited in the RC-attachment  
972 literature, but which has never been published in paper format (only a CUNY abstract is available  
973 online). This makes it obviously very hard to recover even the most basic (and in this context  
974 most important) information: whether PR-verbs were used and how many of them were used.

975 Secondly, as mentioned above, contrasting results are often found in the literature. German,  
976 for example, is traditionally considered a High Attachment language, based on the work of Hem-  
977 forth et al. (1998, 2000b,a); Konieczny & Hemforth (2000). More recently, however, Augurzyk  
978 (2005) conducted a series of experiments in German in which she carefully controlled for sev-  
979 eral possible confounding factors (e.g. all NPs were matched for frequency, number and stress  
980 pattern of syllables, the semantic relationship between the two NPs was kept constant and the  
981 RC combinations with both NPs were matched for plausibility), she found a Low Attachment  
982 preference and convincingly argued that German is, in fact, a Low Attachment Language.

983 Conflicting data at times comes even from the same sources. Sekerina et al. (2003) discuss  
984 2 experiments on attachment in Bulgarian which yielded opposite results: High Attachment  
985 preference was obtained in the first experiment and Low Attachment in the second.

986 Bulgarian being a nonPR language, we would predict LA preference, everything else being equal.  
987 Importantly for the present point, while in the first experiment the stimuli were presented in a  
988 *out-of-the-blue* setting, the stimuli of the second experiment were introduced by strong Relative  
989 Clause context.

990 In the second experiment the sentence stimuli were preceded by two sentences (57-a,b) and  
991 a visual cue. The latter consisted of two sets of objects (e.g. two sets of triangles and triangle  
992 tips) distinguishable by some specific property (e.g. color). The complex DPs were contained in  
993 a question (57-c) which probed the participant to single out a specific member from the two sets  
994 of triangles. This type of context function as a perfect introduction for a RC reading.<sup>20</sup> More  
995 importantly, the sentences used in this second experiment do not allow for PRs for independent  
996 reasons (i.e. there is no verb capable of selecting a PR).

- 997 (57) a. Eto edin rozov triâgâlnik i edin žâlt triâgâlnik.  
998 This one pink triangle and one yellow triangle  
999 b. Vârxovete im sa različno ocveteni.  
1000 The tips them are differently colored  
1001 This is a pink triangle and a yellow triangle. Their tips are different colors.  
1002 c. Kakâv cvjat e vârât na triâgâlnika, v kojto e narisuvan čadâr?  
1003 What color is the tip of the triangle in which is drawn umbrella  
1004 What color is the tip of the triangle that has an umbrella in the middle?

1005 Finally, experiment 1 required *reading* the stimuli, which might have influenced the prosodic  
1006 phrasing because of the presence of a comma separating NP2 and the relative pronouns. The

---

<sup>20</sup>Note that this type of setting is very different from, and much more restrictive than, the one used in Desmet et al. (2002b). While the context in Desmet et al. still allows for a PR reading (it only introduces a set of alternatives for either NP1 or NP2), the present context simply rules out a PR reading completely.

1007 stimuli of experiment 2, however, were presented auditorily, which eliminates the possibility that  
1008 the comma might have played a role. In sum, we predict that when a RC context is used, as in  
1009 experiment 2, a Low Attachment preference should be observed, while the results of experiment  
1010 1 still need to be further investigated.

1011

1012 Thirdly, while PRs are widely attested in a variety of environments in certain languages, e.g.  
1013 in Italian, their availability in other languages (e.g. Portuguese) is subject to great variation,  
1014 both regional, generational and often what appears to be purely individual. This variation ob-  
1015 viously needs to be taken into account, but obviously this has never been done. Even in those  
1016 cases in which we do have access to the sentence stimuli used in the experiments, we still do not  
1017 know what kind of fillers were used by the authors. This is particularly important in the light  
1018 of possible syntactic priming effects: a filler containing a Small Clause immediately preceding a  
1019 sentence stimulus, for example, might well prime the subject for a PR reading. A final note on  
1020 non-PR languages and SC contexts: as discussed in section 3, in the presence of PR-type verbs  
1021 even the parser of a non-PR language like English might temporarily consider a SC continuation.  
1022 It might thus be reasonable to hypothesize that in the presence of SC introducing verbs (such as  
1023 perceptual verbs) a higher tendency for HA might be observed also in non-PR languages such as  
1024 English. Given its serial nature, when the parser encounters an SC introducing predicate, it will  
1025 have to choose between a SC and NP parse of the following material. Early commitment to a SC  
1026 reading might have effects later on in the parse even when the RC is introduced and ultimately  
1027 influence attachment preferences. This might explain the relatively weak effect of Late Closure  
1028 in English and other LA languages (around 60%). An empirical investigation of this possibility  
1029 is being carried on as we write (Grillo et al., 2013a).

1030

1031 In sum, in order to strengthen these results, in-depth comparative work must be conducted,  
1032 taking into account the various factors involved in the availability of PRs. Yet, while we cannot  
1033 take this generalization at face value, it is hard not to be struck by the strength of the prediction  
1034 and the variety of languages it correctly applies to. Once again, advocating for the importance of  
1035 PR-availability does not imply claiming that other factors will not play a role in RC-attachment,  
1036 especially when the PR option is not available.

### 1037 3.2. *Explaining variation across syntactic structures*

1038 As mentioned above, several authors have shown that the characteristic asymmetry in at-  
1039 tachment preferences disappears in certain specific syntactic environments, i.e. speakers of HA  
1040 languages, such as Spanish, display a Low Attachment preference in those environments. A few  
1041 of such environments are listed below:

1042 (58) SUBJECTS (Hemforth et al., unpublished)

- 1043 a. The maid of the actress that was sitting on the balcony is blonde  
1044 b. La criada de la actriz que estava sentada en el balcón es rubia

1045 (59) NOMINALS (Gibson et al., 1996)

- 1046 a. The lamp near the painting of the house that was damaged by the flood  
1047 b. la lámpara cerca de la pintura de la casa que fué dañada en la inundación

1048 (60) TYPE OF P (De Vincenzi & Job, 1993, 1995)

- 1049 a. Qualcuno ha sparato alla governante con l'attrice che stava seduta in balcone  
1050 b. Someone shot the maid with the actress that was sitting on the balcony

- 1051 (61) UNAMBIGUOUS RELATIVE PRONOUNS (Fernández, 2003, p.31)  
 1052 Vi al hijo del medico el cual estaba en el balcón  
 1053 I saw the son of the doctor who<sub>rel-pro</sub> was on the balcony

1054 Crucially, what all these contexts have in common is their inability to introduce propositions,  
 1055 i.e. PRs are *not* available in any of these environments, and LA is correctly predicted by the  
 1056 generalization in (50). That the PR reading is not available in these contexts is well illustrated  
 1057 by the English version of the examples (58), (59) and (60): even in the absence of an overt *that*  
 1058 *was* the string “*sitting on the balcony*” is still interpreted as a reduced relative clause (despite  
 1059 this being a generally dispreferred interpretation) and not as a Small Clause of the *Acc-ing* type.  
 1060 While the lack of PR readings in the presence of relative pronouns is extremely clear, more needs  
 1061 to be said about subjects, as well as thematic prepositions and nominals, which also happen to  
 1062 be 3-sites NPs. As for subjects, we should remind that it is not *all* subjects that prevent PRs, the  
 1063 example in (47)[a], reported in (62) is an example of felicitous (even obligatory) PR in subject  
 1064 position.

- 1065 (62) [La figlia<sub>1</sub> del postino<sub>2</sub> che corre<sub>1/\*2</sub> (da sola / \*da solo)]<sub>i</sub> è un evento<sub>i</sub> eccezionale  
 1066 the daughter-of-the postman that runs (by herself / by himself) is an event exceptional  
 1067 the daughter<sub>1</sub> of the postman<sub>2</sub> running <sub>1/\*2</sub> (by herself / \*by himself) is an exceptional  
 1068 event

1069 In this case, however, the PR interpretation is authorized (and enforced) by making reference  
 1070 back to the whole subject as an event. When this type, or others such licensing elements are not  
 1071 present, as in e.g. (58), the PR interpretation is not available. In the following sections we will  
 1072 elaborate further on the role of the type of Preposition 3.2.1 and the nominals 3.2.2.

### 1073 3.2.1. Types of Preposition

1074 An anonymous reviewer pointed out that Small Clauses are available in the following sen-  
 1075 tence, despite the presence of the preposition with (63-a). This is also true of PRs (63-b)

- 1076 (63) a. The maid with the blonde hair dancing the polka is an event you shouldn't miss.  
 1077 b. La governante con i capelli biondi che balla la polka è uno spettacolo da non  
 1078 perdere.

1079 What is at stake here is the difference between Restrictive (which can be replaced by a RC) and  
 1080 non-Restrictive uses of *with*, which can be paraphrased with “*together with / in company with*”.  
 1081 The latter use is also called *comitative* in the literature.<sup>21</sup> The examples in (63) and (62) both  
 1082 involve a clear case of restrictive preposition, both NPs can be paraphrased with: *the actress*  
 1083 *which has blonde hair*. The restrictive type of *with* is easily constructed with properties, such  
 1084 as *being blonde*, as is generally the case for restrictive modifiers, can easily be used in subject  
 1085 position, and in complement position of stative verbs, such as “*be married to*”, which obligatorily  
 1086 select NP complements, as well as other verbs (e.g. PR-verbs), (64) show.

- 1087 (64) a. The maid with blond hair is beautiful / the actress who has blond hair is beautiful

<sup>21</sup>A detailed discussion of the literature on comitatives, and the additional locative, interpretation would take us too far astray from the present discussion, but see e.g. McNally (1993); Lasersohn (1995); Kayne (1994), among others. Here we will limit ourselves to point out a few differences in distributional and structural properties of the two types, which should provide enough information for the present discussion.



1124 ing as subjects of Pseudo Relative Small Clauses, which explains why PRs are not allowed with  
1125 *non-Restrictive with*.

1126 That the interpretive difference between the two types of *with* is structurally represented can  
1127 be demonstrated by looking at the asymmetry between extraction in the non-Restrictive (allowed)  
1128 vs. the Restrictive (not allowed) interpretation. As (70) shows, we can freely extract both the

- 1129 (70) a. Question: With whom did John see the maid <with whom>?  
1130 Answer: He saw her with the actress.  
1131 b. Question: Who did John see the maid with <who>?  
1132 Answer: He saw her with the actress.  
1133 c. Question: \*With whom does [the maid <with whom>] take a bath?  
1134 Answer: \*The maid with the actress takes a bath.  
1135 Answer: (to irrelevant matrix interpretation of the PP) The maid takes a bath with  
1136 the actress.  
1137 d. \*Whom did [the maid with <whom>] take a bath

1138 This pattern might be attributed to the independent restriction on extraction from subjects (Ross,  
1139 1967). However, the same pattern emerges when considering these type of NPs in object position:

- 1140 (71) a. \*With whom is John married to [the maid <with whom>]  
1141 b. Who is John married to [the maid with <whom>]

1142 This is not surprising if we take restrictive *with* to introduce some kind of reduced restrictive  
1143 RCs. RCs are well-known blocker of syntactic movement, as the example below shows:

- 1144 (72) a. John is married to [<sub>DP</sub> the maid [<sub>RC</sub> that is with the actress]]  
1145 b. \*With whom is John married to [<sub>DP</sub> the maid [<sub>RC</sub> that is <with whom>]]  
1146 c. John kissed [<sub>DP</sub> the maid [<sub>RC</sub> that loves the boy]] \*Whom did John kiss [<sub>DP</sub> the maid  
1147 [<sub>RC</sub> that loves <whom>]

1148 The same pattern arises with Italian *con*, as (73) illustrates:

- 1149 (73) a. Question: Con chi ha visto la governante <con chi> Gianni?  
1150 With who has seen the maid <with who> Gianni?  
1151 Answer: Con l'attrice.  
1152 With the actress  
1153 b. Question: Con chi è che Gianni ha visto la governante <con chi>?  
1154 With whom is it that G. has seen the maid <with who>?  
1155 Answer: Con l'attrice.  
1156 c. \*Con chi è sposato Gianni con la governante <con chi>?  
1157 With who is married G. with the maid <with who>?  
1158 With who is G. married with the maid <with who>

1159 Looking back at the problematic example, we can now show that not only it receives a restrictive  
1160 interpretation, but also that it is structurally represented as a Relative Clause.

- 1161 (74) a. The actress with the blonde hair dancing the polka is an event you should not miss  
1162 b. Q: \*With what is the actress <with what> dancing the polka?  
1163 A: With blonde hair.  
1164 c. IRRELEVANT:

- 1165 Q: With what is the actress dancing the polka <with what>?  
 1166 A: With fluid movements.  
 1167 d. \*What is the actress with <what> dancing the polka?  
 1168 e. Q: \*With who is the actress <with who> dancing the polka?  
 1169 A: The actress with the maid is dancing the Polka.  
 1170 f. \*Who is the actress <with> dancing the Polka?  
 1171 g. IRRELEVANT:  
 1172 Q: With who is the actress dancing the Polka <with who>  
 1173 A: The actress is dancing the polka with Arthur.

1174 Since non-Restrictive *with* is not allowed in subject position, we can conclude that SCs and PRs  
 1175 are allowed only when Restrictive *with* is used in a complex NP. Restrictive *with*, however, does  
 1176 not seem to be the favored interpretation when two animate NPs are present, as shown by the  
 1177 perception of ungrammaticality triggered by these types of NPs in subject position.

1178 Summarizing: There is a difference between restrictive and non-restrictive *with*, this dif-  
 1179 ference is both interpretive and structural. PRs are allowed with restrictive but not with non-  
 1180 restrictive *with*. This is due to the fact that non-restrictive *with* is not allowed in subject position.  
 1181 We thus predict a different pattern to arise with the two types of preposition and we leave it open  
 1182 for further investigation. We should also emphasize that experiments manipulating *with* have  
 1183 typically used

### 1184 3.2.2. No need for parametrization of Relativized Relevance in Three-NP sites

1185 As discussed in section (12) above, Gibson et al. (1996, 1999) reported a common U-shaped  
 1186 pattern in RC-attachment in Spanish and English in the context of 3 possible attachment sites.  
 1187 We will argue that these effects are due to the interaction of PR availability and a principle akin to  
 1188 either Relativized Relevance or Predicate Proximity (the two principles are mainly distinguished  
 1189 by their respective availability at early stages of parsing, a topic over which we have nothing to  
 1190 say here).

1191 Let's first discuss the results of Gibson et al. (1996), remember that sentence fragments, i.e.  
 1192 nominals, that could have been taken to be the subjects of a potential forthcoming predicate,  
 1193 were used in this experiment. The primary, and *universal*, LA preference found in these con-  
 1194 texts is explained when considering that PRs cannot possibly be introduced in this contexts as no  
 1195 PR-taking predicate (either Verbal or Nominal) is present. In lack of a PR option, the embedded  
 1196 clauses can only be interpreted as RCs, whose attachment will be heavily influenced by Locality  
 1197 principles.

1198  
 1199 The U-shaped pattern, i.e. the secondary preference for NP1, can be explained under ei-  
 1200 ther Relativized Relevance or Predicate Proximity. Importantly, however, there's no need to  
 1201 parametrize the strength of this type of principle, as the variation across languages (or lack  
 1202 thereof) is already explained by the unavailability of PRs in this environment.<sup>23</sup>

<sup>23</sup>One additional difficulty when evaluating the results in Gibson et al. (1999) because of different varieties of Spanish of their participants, i.e. it's hard to know whether PRs are available in all these varieties of Spanish, especially since PR-availability in some languages is strongly subject to dialectal variation. (Gibson et al. (1996), p. 28: "The 24 subjects included were from Mexico (8 subjects), Puerto Rico (6), Spain (3), Argentina, Chile, Salvador, Guatemala, Peru, and Venezuela; the remaining subject was a native of the United States, with a Mexican father, and learned Spanish in the home. The same is true for the participants in Gibson et al. (1999), p. 606: "Spain (8 participants), Mexico (3), the Canary

1203 To account for the results in [Gibson et al. \(1999\)](#) we will hypothesize that the likelihood of pro-  
1204 jecting a PR decreases when increasing the distance between the PR-taking predicate and the  
1205 potential Small Clause. As the likelihood of a PR parse diminishes, that of a RC parse increases,  
1206 which puts Locality in charge again and explains the primary LA preference. As above, the ap-  
1207 plication of Relativized Relevance / Predicate Proximity accounts for the secondary preference  
1208 for N1 attachment. Importantly, once again, this account does not require a parametrization of  
1209 this principle. The difference between these results and the results in Dutch ([Wijnen, 1998; Wij-  
1210 nen et al., 1999](#)), in which the U-shaped pattern still favors HA (NP1 > NP3 > NP2) might  
1211 be due to a difference in the number of PR-verbs used in the two experiments, however, more  
1212 empirical work is required to provide a full account for these differences.

1213

1214 Summing up, once the availability of PRs is taken into account, previous (often conflicting or  
1215 confusing) results from the experimental literature on RC attachment are amenable to a uniform  
1216 explanation: as predicted, High Attachment is observed in a given language only in contexts  
1217 that allow for a PR reading, whereas in all genuine RC contexts, unless factors such as prosody  
1218 or referentiality are involved, a Low Attachment preference prevails. Importantly, the patterns  
1219 discussed in this section do not simply follow from position (subject vs. object) or category  
1220 (N vs. V). What drives attachment preferences is the availability of PRs, i.e. the presence of a  
1221 context capable to introduce propositions. Manipulating this property of the contexts changes  
1222 this state of affairs, often quite dramatically: by adding reference to an event, we can force the  
1223 string to be interpreted as proposition and rule out the RC reading. This change can be diagnosed  
1224 easily, as it makes the overt presence of the restrictive marker *that was* ungrammatical. Compare  
1225 (58) with (75):

1226 (75) The maid of the actress (\*that was) dancing the polka is an event you shouldn't miss

1227 In (75) the RC reading is rendered ungrammatical by the semantic (*is an event*) properties of  
1228 the matrix clause and the PR/SC is the only available interpretation despite it being embedded  
1229 within a subject. Importantly, the experimental works cited above did not make use of these  
1230 special contexts.

1231 To further support the centrality of this factor, [Fernandes \(2012\)](#) and [Grillo et al. \(2012\)](#)  
1232 predict that using nominals that can introduce propositions also change the universal attachment  
1233 preference reported in [Gibson et al. \(1996\)](#), i.e. it will eliminate the primary LA preference and  
1234 the overall U-shaped preference, in those languages that allow PRs in this special contexts (76-a)  
1235 and in the context of *Acc-ing* constructions in e.g. English (76-b):

1236 (76) a. La foto [<sub>sc</sub>[del figlio del medico] che corre] è davvero bella  
1237 b. The picture of [<sub>sc</sub>[the son of the doctor] running] is very beautiful

1238 More specifically, we predict a preference to attach to NP2 in both PR languages and nonPR  
1239 languages in the contexts in (76-a,b).<sup>24</sup>

---

*Islands (2), El Salvador (2), Peru (2), the United States (2), Colombia, Cuba, the Dominican Republic, Nicaragua, or Venezuela.*)

<sup>24</sup>Three experiments in English and European Portuguese presented in [Fernandes \(2012\); Grillo et al. \(2012, 2013a\)](#) offer preliminary support for this prediction.

1240 3.3. A note on PRs and prosody

1241 In section (14) we briefly discussed the Implicit Prosody Hypothesis (Fodor, 1998a,b, 2002).  
 1242 Now that we have introduced PRs and discussed the confounding role they might have played  
 1243 in the preceding literature on RC attachment, we can ask to what extent, if any, PR-availability  
 1244 might be responsible for the observed differences in prosodic phrasing across languages (Jun,  
 1245 2003). A full answer to this question is beyond the scope of the present paper, and we will limit  
 1246 ourselves to point out that, besides having a different syntax / semantics, PRs are also associated  
 1247 with different prosodic representations. Notice that claiming that PRs might be involved in de-  
 1248 termining default prosodic phrasing across languages does not in any ways constitute a threat for  
 1249 the IPH, in fact we believe quite the contrary to be true, as the IPH itself has little to say about  
 1250 those default preferences.

1251 We'll first point out that a specific intonational phrasing is required by PRs, which is different  
 1252 in crucial ways from that of RCs. PRs are compatible with the presence of a prosodic bound-  
 1253 ary placed in between NP2 and the *che*-clause, as in (77-a); and incompatible with a boundary  
 1254 following NP1.

- 1255 (77) a. *PR compatible break*  
 1256 Ho visto la figlia del postino // che correva da sola / \*da solo  
 1257 I saw the daughter of the postman that ran by herself / himself  
 1258 I saw the daughter of the postman // running by herself SC / RC  
 1259 b. *PR incompatible break*  
 1260 Ho visto la figlia // del postino che correva da solo / \*da sola  
 1261 I saw the daughter of the postman that ran by himself / herself  
 1262 I saw the daughter // of the postman running by himself / herself RC / \*SC

1263 This seems to be true also of English SCs, as both the glosses to (77) above and the examples in  
 1264 (78) show:

- 1265 (78) a. *SC compatible break*  
 1266 John saw the daughter of the postman // working by herself / ?? himself  
 1267 b. *SC incompatible break*  
 1268 John saw the daughter // of the postman (that is) working by himself / ?? herself

1269 A partial reappraisal of the effects of RC length in attachment might also necessary, as ma-  
 1270 nipulating the of the RC we might involuntarily manipulate PR availability:

- 1271 (79) a. *Short RC, PR available*  
 1272 Ho visto il ragazzo che correva  
 1273 I saw the boy that ran  
 1274 I saw the boy running  
 1275 b. *Short RC, PR unavailable*  
 1276 Ho visto il ragazzo che ami  
 1277 I saw the boy that you love  
 1278 c. *Long RC, PR available*  
 1279 Ho visto il ragazzo che correva la maratona domenica scorsa  
 1280 I saw the boy that ran.IMPERF. the marathon last sunday  
 1281 d. *Long RC, PR unavailable*  
 1282 Ho visto il ragazzo che ha corso la maratona domenica scorsa

1283

I saw the boy that has run.PERF. the marathon last sunday

1284

Here we will simply point out that extra care should be taken with length manipulation, as this can also involve manipulation of e.g. *inner aspect* of the embedded verb, compare the PR-compatible process *run* in (79-a) with the PR-incompatible state *love* in (79-b); or *outer aspect* of the embedded verb, compare the PR-compatible imperfective *correva* with the PR-incompatible perfective form *ha corso* in (79-c,d). Inner and outer aspect are just two of the possible factors that are involved in deciding PR-availability, and are in turn influenced by a number of other factors (e.g. *Ho visto il ragazzo che correva ogni giorno / I saw.PERF the boy that ran.IMPERF every day*, contains an imperfective, but the modifier *every day*, forces an habitual reading which rules out a PR interpretation because of its incompatibility with the perfective of the matrix verb).

1293

Summarizing, PR-availability might be partially responsible to explain cross-linguistic differences in default phrasing in complex NPs plus RC / PR strings. PR-availability might also contribute in shaping changes in attachment preferences generated by RCs of different length, and should be controlled for when investigating this type of effects. Once again, advocating a role for PRs simply amounts to saying that these structures are real and cannot be ignored when investigating attachment and prosody, it does not imply negating the clear role played by prosody in parsing.

1300

Having discussed some of the potential implications of the role of PR-availability in the previous literature, we now turn to the discussion of two novel experiment in which this availability was the direct object of manipulation.

#### 1304 4. New experimental evidence

1305

In the remainder of this section we present the results of two novel experiments in Italian in which we used different grammatical constraints (among those presented in section 2.1) to selectively manipulate PR availability. Based on the PR-first hypothesis in (51) we predict to observe LA preference in unambiguously RC contexts, and HA preference in contexts ambiguous between a PR and RC parse.

1309

##### 1310 4.1. Experiment 1

1311

As discussed above, PRs can only be constructed with subjects of the embedded clause and in the presence of a verb or noun selecting for a proposition. ?? predicts LA preference to arise in all the conditions in which RC was the only available parse (condition B, C, D below), and a significantly higher number of HA preferences to arise when both PR and RC are allowed (condition A).

1315

1316

**Method and Participants** (N=31) Italian native speakers participated in an offline questionnaire on attachment preferences in complex DPs. All the participants gave their informed consent before taking part in the study and were naive as to the goals of the experiment.

1317

1318

1319

**Materials and Design** 20 sets of target sentences were constructed with 4 versions for each sentence in a 2x2 design crossing *Position* (right branching [RB] vs. center embedding [CE]) and *ExtractionSite* (subject vs. object). 4 lists of 20 target and 80 filler sentences were created using a latin-square design. The fillers didn't contain either RCs or SCs/PRs. Target

1322

1323 and filler sentences were pseudo randomized so that subjects would never see a target sentence  
1324 immediately following another target sentence. Meaning was kept constant using passives in the  
1325 A and C condition. An example of the sentence stimuli and questions is reported in (80). Position  
1326 of extraction is indicated with <EC>.

1327 (80) **Stimuli**

- 1328 a. PR / RC CONDITION: RB-SUBJECT  
1329 il barista ha guardato l'amico del cliente che <EC> veniva sorpreso dai colleghi.  
1330 the barman has watched the friend of-the client that <EC> became surprised by-the  
1331 colleagues  
1332 *the barman watched the friend of the client (that was) being surprised by his col-*  
1333 *leagues*
- 1334 b. RC ONLY CONDITION: RB-OBJECT  
1335 il barista ha guardato l'amico del cliente che i colleghi avevano sorpreso <EC>.  
1336 the barman has watched the friend of-the client that the colleagues had surprised  
1337 <EC>  
1338 *the barman watched the friend of the client that his colleagues had surprised*
- 1339 c. RC ONLY CONDITION: CE-SUBJECT  
1340 l'amico del cliente che <EC> veniva sorpreso dai colleghi è molto buono.  
1341 the friend of-the client that <EC> became surprised by-the colleagues is very nice  
1342 *the friend of the client that was surprised by his colleagues is very nice*
- 1343 d. RC ONLY CONDITION: CE-OBJECT  
1344 l'amico del cliente che i colleghi avevano sorpreso <EC> è molto buono.  
1345 the friend of-the client that the colleagues had surprised <EC> is very nice  
1346 *the friend of the client that his colleagues had surprised is very nice*

1347 →CHI ERA SORPRESO? A. AMICO B. CLIENTE  
1348 (who was surprised? A. friend B. client)

1349 To obtain as close a match as possible between this study and previous studies on attachment,  
1350 we used a mix of verb types in the matrix clause using both verbs that take PRs as complements  
1351 (N=5; e.g. *see, hear*) and verbs that only allow for PR adjuncts (e.g. *meet*). Thematic assignment  
1352 in the embedded clauses was kept constant across conditions using passive voice in the A and C  
1353 condition.<sup>25</sup> As indicated in (80), the only condition allowing for a PR reading of the embedded  
1354 clause is condition A. RC reading was forced in all other conditions: extraction of the object  
1355 prevents a PR reading in condition B, while embedding within a subject in the absence of any  
1356 predicate selecting for a proposition disallows the PR reading in condition C and D. The target  
1357 sentences were interspersed among 80, unambiguous, unrelated fillers. No SCs or RCs were  
1358 used in the fillers.

1359 The sentences were organized in a latin-square design so that each subject only saw one ver-  
1360 sion of each sentence. To ensure proper attention was paid to the task, a comprehension questions  
1361 followed each sentence. We counterbalanced questions and answers of both stimuli and fillers.  
1362 For the stimuli, we made sure that NP1 was presented first in 50% of the answers. For the fillers,  
1363 we ensured that only 50% of the answers to the fillers were true. The study was conducted using  
1364 a PC running the *Linger* software developed by Doug Rodhe. (<http://tedlab.mit.edu/dr/Linger>) or  
1365 it was presented to the subjects on an Excel spreadsheet.

<sup>25</sup>Thanks to Colin Phillips for suggesting to use passives.

1366 **Results and Analysis** One subject was excluded from the analysis because of answering  
 1367 only 46.5% of the unambiguous filler item questions correctly. Table 5 reports the percentages  
 1368 of High Attachment per condition.

	RB	CE
Subject	56.6%	32.8%
Object	44.0%	40.1%

Table 5: Percentage of High Attachment Preferences

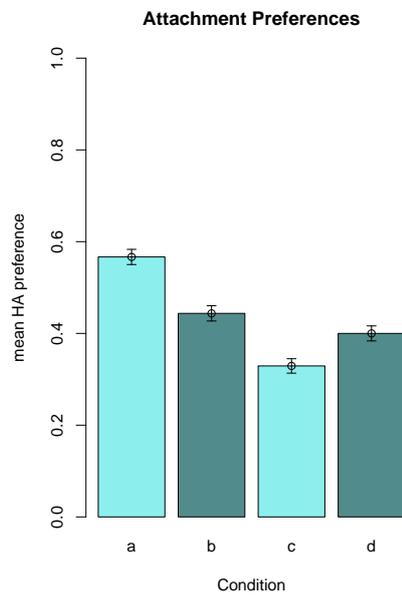


Figure 1: Summary of Attachment Preferences

1369 Data were fit with mixed effects logistic regression using the `lmer()` function of the *lme4*  
 1370 package (Bates et al., 2011) of the R analysis program (R core development team). In the main  
 1371 model *position* and *extractionsite* were fit as fixed factors, and subject and items as random  
 1372 factors. Random slopes were fit for both fixed effects and their interaction. The analysis showed  
 1373 a significant effect of position (coefficient = 0.9915, SE = 0.4321, z-score = 2.300,  $p < .01$ ).  
 1374 A significant interaction *position\*extractionsite* was also observed (coefficient = 1.5059, SE =  
 1375 0.6083, z-score = 2.476  $p < .01$ ). An additional analysis, looking at effects of extraction for  
 1376 the two positions separately, showed a significant effect of extraction site in the RB condition  
 1377 only (coefficient = 0.9672, SE = 0.4023, z-score = 2.404,  $p < .01$ ), with significantly more HA  
 1378 preferences for subject extraction than object extraction. Finally, analyzing effects of position  
 1379 for the two extraction sites separately revealed an effect of position for subject extraction only  
 1380 (coefficient = 1.7751, SE = 0.5954, z-score = 2.982,  $p < .001$ ), with significantly more HA  
 1381 preferences for RB than CE.

1382 Taken together these results show that, as predicted, the number of High Attachment deci-  
1383 sions was significantly higher for subject extraction than for object extraction *in the RB condition*  
1384 *only*, i.e. condition A.

#### 1385 4.2. Discussion

1386 The results fully support our predictions. A Low Attachment preference was found in all  
1387 conditions in which an unambiguous RCs reading had been forced: using object extraction in  
1388 the RB environment in condition B, and both subject and object extraction in CE, in condition C  
1389 and D. High Attachment preference was observed only when PRs were available i.e. limited to  
1390 subject extraction in RB cases (condition A). The absence of a statistically significant difference  
1391 between condition C and D shows that the asymmetry between condition A and B boils down  
1392 to the availability of PRs. Notice that these results have been obtained despite the extremely  
1393 conservative use of PR-complement taking verbs. We deliberately chose to limit the use of these  
1394 verbs to better demonstrate the possible influence of PR availability in previous studies even  
1395 in the presence of a restricted number (5) of PR-complement verbs, i.e. 25% of the stimuli.<sup>26</sup>  
1396 Importantly, HA preference for the five PR-complement verbs taken separately raises to 68.8%  
1397 in condition A, but it remains unvaried in condition B (43.8%). Conversely, when the five PR-  
1398 complement verbs are excluded from the analysis, HA preference for condition A goes down to  
1399 50% and to 41.1% in condition B, which indicates an effect of verb type even with such restricted  
1400 numbers. Table 8 reports the average HA per item, an asterisk marks the items containing PR-  
1401 verbs.

1402 An anonymous reviewer pointed out that these results might be offered an alternative expla-  
1403 nation in terms of the higher memory load imposed on conditions B, C and D by object extraction  
1404 and centre embedding respectively. It is well established that object extraction is harder to parse  
1405 than subject extraction (on extraction see King & Just, 1991; Gibson, 1998; Gordon et al., 2001,  
1406 among many others). The literature on the relative complexity of Center Embedding and Right  
1407 Branching is more divided (see Gibson et al. 2005 for a review of the literature and a claim that  
1408 RB is in fact harder than CE and Santi et al. 2011a,b for a critical review of the results in Gibson  
1409 et al. and for additional data asserting the higher complexity of CE).

1410 As the same reviewer suggests “*working memory demands are minimized in Condition A,*  
1411 *while the three other conditions where low attachment is found each have at least one extra*  
1412 *burden on working memory*”.<sup>27</sup> The reviewer further suggests to strengthen our position avoiding  
1413 structural manipulation, i.e. by manipulating the matrix verb, which is what we do in the next  
1414 experiment.

---

<sup>26</sup>As mentioned above, it is not always possible to have access to the sentence stimuli used in published work, and even less information (generally none) can be found about fillers. However, a quick look at the literature reveals the following: verbs taking PR-complements are used in 9 / 24 of the sentence stimuli (i.e. 37.5%) in Cuetos & Mitchell (1988); 8 / 20 (40%) in Brysbaert & Mitchell (1996); 6 / 16 (37.5%) in Carreiras & Clifton (1999); up to 13/24, i.e. more than 50% in Zagar et al. (1997). All these experiments revealed a HA preference in languages allowing PRs. Carreiras & Clifton (1993) used two sets of stimuli, the first set was used for experiments 1-4, this is the same set used by Carreiras & Clifton (1999), i.e. 37.5% of the sentences allow a PR interpretation. A second set of sentences was used for experiment 5. This contains at least 6 PR-verbs over 24 (5, photograph; 15, draw; 16, bump into; 19 photograph; 21 meet; 12, see), i.e. 25% of the stimuli.

<sup>27</sup>Two studies addressed the interaction of memory span and attachment preferences: Felser et al. (2003) with children, and Swets et al. (2007) with adults. Both reported a preference for *local* attachment in subjects with high working memory span. While these results do not directly inform us on the interaction of object RCs and higher memory load with attachment preferences, they might in fact predict this interaction to go in the opposite direction we observed, which would explain the relatively high percentage of HA in the Object RC condition and ultimately strengthen our results.

<b>Item</b>	<b>Condition A</b>	<b>Condition B</b>	<b>Condition C</b>	<b>Condition D</b>	<b>Matrix V</b>
1	50	25	33.3	11.1	<i>call</i>
2*	44.4	25	75	66.6	<i>watch</i>
3	0	22.2	0	37.5	<i>warn</i>
4	50	33.3	55.5	50	<i>interview</i>
5	50	50	44.4	33.3	<i>help</i>
6	22.2	25	62.5	22.2	<i>recognize</i>
7	88.8	33.3	0	62.5	<i>be introduced to</i>
8*	87.5	100	29.4	25	<i>observe</i>
9*	75	62.5	22.2	22.2	<i>admire</i>
10*	100	0	37.5	44.4	<i>see</i>
11*	100	100	0	62.5	<i>hate</i>
12	50	33.3	11.1	0	<i>shoot</i>
13	100	62.5	44.4	33.3	<i>help</i>
14	33.3	0	50	33.3	<i>warn</i>
15	100	77.7	25	37.5	<i>hide</i>
16*	62.5	44.4	0	25	<i>hear</i>
17*	50	50	44.4	44.4	<i>listen</i>
18	33.3	50	50	77.7	<i>run over</i>
19	11.1	33.3	25	50	<i>greet</i>
20*	50	44.4	0	25	<i>intercept</i>
<b>Total</b>	<b>56.6</b>	<b>44.3</b>	<b>32.9</b>	<b>40</b>	

Table 6: Experiment 1: Percentage of HA Preferences by item

1415 4.3. Experiment II: Manipulating the matrix verb

1416 As discussed in section 2.1, PRs behave much like other types of clausal complements in  
1417 being selected only by a restricted class of verbs. Among these, perceptual (e.g. *see, hear, feel*  
1418 etc.) or quasi-perceptual (e.g. *photograph, film, record*), are the ones that most readily allow for  
1419 PRs across languages.

1420 To further test the role of PR availability in attachment, and avoid possible structural con-  
1421 founds in an additional experiment we tested the effects of PR availability on RC attachment  
1422 preference by manipulating the type of verb in the matrix clause. We used sentences containing  
1423 strings ambiguous between a RC and PR interpretation, which displayed perceptual or quasi-  
1424 perceptual verbs, and identical sentences in which the same string could not only be interpreted  
1425 as a RC because of the stative nature of the matrix verb. If our account holds, we expect LA to  
1426 arise in the unambiguous RC condition and HA in the ambiguous PR / RC condition.

1427 **Method and participants** (N=30) Italian native speakers participated in an offline ques-  
1428 tionnaire on attachment preferences in complex DPs. All participants gave their informed con-  
1429 sent before taking part in the study and were naive as to the goals of the experiment.

1430 **Materials and Design** 24 minimal pairs of target sentences were constructed, keeping ev-  
1431 erything but the matrix verb constant. Condition A contained a PR taking predicates (e.g. *see,*  
1432 *hear, film, photograph* a.o.), while Condition B contained stative predicates (e.g. *lives with,*  
1433 *works with, is married to*) which only allow for NP complements, and therefore RC interpre-  
1434 tation of the embedded clause. Two lists were created, with 24 target and 80 fillers. As in the  
1435 previous experiment, the fillers did not contain either RCs or SCs / PRs. (81) depicts an example  
1436 of the sentence stimuli used.

1437 (81) **Stimuli Experiment II**

- 1438 a. PR / RC CONDITION: PR-VERBS  
1439 Gianni ha visto il figlio del medico che correva  
1440 G. saw the son of the doctor that ran  
1441 *G. saw the son of the doctor running*  
1442 b. RC ONLY CONDITION: STATIVE VERBS  
1443 Gianni vive con il figlio del medico che correva  
1444 G. lives with the son of the doctor that ran  
1445 *G. lives with the son of the doctor running*

- 1446 A. IL FIGLIO CORREVA (*the son ran*)  
1447 B. IL DOTTORE CORREVA (*the doctor ran*)

1448 Each subject only saw one version of each sentence. To ensure proper attention was paid to  
1449 the task, a comprehension question followed each sentence. The questions and answers to both  
1450 targets and fillers were counterbalanced so that NP1 was presented first in 50% of the answers,  
1451 50% of the answer to the fillers were true. The study was conducted using *Google Questionnaire*.

1452 **Results and Analysis** All subjects performed at ceiling on the filler items. Table 7 reports  
1453 the percentages of High Attachment preference per condition.

1454 Data were fit with mixed effects logistic regression using the `lmer()` function of the *lme4*  
1455 package (Bates et al., 2011) of the R analysis program (R core development team). In the main

Eventive	Stative
78.6%	24.2%

Table 7: Percentage of High Attachment Preferences

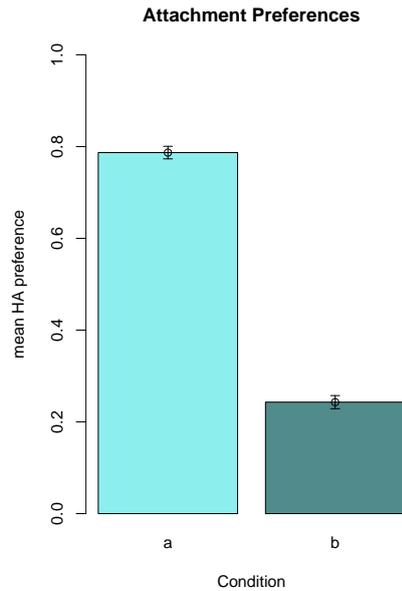


Figure 2: Summary of Attachment Preferences

1456 model *verb-type* was fit as fixed factor, and subject and items as random factors. Intercept and  
 1457 random slopes were fit for the fixed effect. The analysis showed a highly significant effect of  
 1458 verb-type (coefficient = -3.95604, SE = 0.51992, z-score = -7.609,  $p < .0001$ ), with significantly  
 1459 more HA preferences for event-taking (Condition A) than entity-taking (Condition B) verbs.  
 1460 As predicted, LA was observed with stative predicates, which can only take nominal comple-  
 1461 ments and with which the embedded clause can only be interpreted as a RC. A very strong HA  
 1462 preference emerged with perceptual predicates, which can take both nominal complements and  
 1463 clausal complements of the PR type.

## 1464 5. Conclusions

1465 In this paper we have shown that the literature on RC attachment preferences in complex DPs  
 1466 has ignored a grammatical distinction between the string identical RCs and PRs. We have argued  
 1467 that this distinction potentially confounds previous results in this area of research and claimed  
 1468 that alleged cross-linguistic differences in parsing preferences can be reduced to this grammati-  
 1469 cal distinction, once this confound is dealt with. Support for this claim was discussed, from both  
 1470 previously published and original results. Looking back at the previous literature we see that, all  
 1471 else being equal, once a PR reading is excluded, i.e. once genuine RCs only are considered, LA

<b>Item</b>	<b>Condition A</b>	<b>% HA</b>	<b>Condition B</b>	<b>% HA</b>
1	<i>vedere / see</i>	92.8	<i>vive con / lives with</i>	25
2	<i>sentire / hear</i>	68.7	<i>lavora con / works with</i>	14.2
3	<i>sentire / hear</i>	71.4	<i>si allena con / trains with</i>	0
4	<i>guardare / look at</i>	62.5	<i>sposato / married</i>	7.1
5	<i>ascoltare / listen to</i>	92.8	<i>lavora per/ works for</i>	18.7
6	<i>osservare / observe</i>	87.5	<i>fidanzata con / engaged with</i>	28.5
7	<i>sorprendere / surprise</i>	100	<i>affezionato a / is attached to</i>	56.2
8	<i>beccare / catch</i>	100	<i>si esercita con / exercises with</i>	28.5
9	<i>osservare / observe</i>	100	<i>innamorata di / in love with</i>	25
10	<i>guardare / look at</i>	87.5	<i>imparentato con / family of</i>	7.1
11	<i>fotografare / photograph</i>	71.4	<i>odia / hates*</i>	31.2
12	<i>vedere / see</i>	87.5	<i>convive / cohabits</i>	35.7
13	<i>immaginare / imagine</i>	78.5	<i>cena con / dines with</i>	31.2
14	<i>sognare / dream</i>	87.5	<i>sposata con / married to</i>	14.2
15	<i>ritrarre / portray</i>	35.7	<i>lavora per/ works for</i>	6.2
16	<i>filmare / film</i>	65.2	<i>frequenta / hangs out with</i>	42.8
17	<i>registrare / record</i>	62.5	<i>lavora per/ works for</i>	13.6
18	<i>guardare / look at</i>	71.4	<i>ama / loves*</i>	55.5
19	<i>fotografare / photograph</i>	66.6	<i>collabora / collaborates</i>	52.3
20	<i>guardare / look at</i>	76.1	<i>studia con / studies with</i>	44.4
21	<i>filmare / film</i>	55.5	<i>sposato / married</i>	4.7
22	<i>immaginare / imagine</i>	90.4	<i>lavora con / works with</i>	44.4
23	<i>vedere / see</i>	55.5	<i>esce con / goes out with</i>	4.7
24	<i>ascoltare / listen to</i>	90.4	<i>studia con / studies with</i>	44.4
Total		78.6 %		24.2 %

Table 8: Experiment 2: Percentage of HA Preferences by item.

1472 preference is observed.

1473 Our experiments on RC-attachment in Italian further confirm this prediction: in the first experi-  
1474 ment we have shown that ambiguity is resolved differently when the same sequence, NP1 of NP2  
1475 + RC, is embedded in different positions, and crucially LA preference arises in all cases in which  
1476 a PR reading was excluded through grammatical means (i.e. object extraction, position of em-  
1477 bedding), HA preference, on the other hand, is found when PRs are available (subject extraction  
1478 in Right Branching context). Importantly, the first experiment was designed to replicate previous  
1479 studies, i.e. only a small subset of the stimuli contained verbs that can select for a PR. The size  
1480 of the effect is also representative of those earlier studies (the observed 56% HA over all verb  
1481 types goes up to 68.8 when only PR compatible verbs are considered), which shows that even a  
1482 small number of PR-verbs can strongly influence the final result. The second experiment directly  
1483 tackles the role of the matrix verb in determining attachment preferences: we constructed min-  
1484 imal pairs of sentences containing either PR-verbs as matrix predicates or stative verbs that can  
1485 only select for NP complements (and therefore in which the embedded clause can only be parsed  
1486 as a Relative Clause). The results are strongly in line with our prediction (78.6% HA in the PR  
1487 vs. 24.2% HA in the RC-only condition), supporting the claim that a strong LA preference is to  
1488 be expected in the absence of PR ambiguity.

1489

1490 To interpret these results, and more generally the residual variation across languages and syn-  
1491 tactic structures, we have proposed that when both PRs and RCs are available (in the absence of  
1492 additional factors such as prosody, plausibility etc.) the parser prefers PRs over RCs because the  
1493 former are simpler both at the structural (i.e. PRs are Small Clauses, while RCs are full clauses)  
1494 and interpretive level (PRs require simpler presuppositions at the contextual level). This account  
1495 also allowed us to dispense with parametrization of principles such as Relativized Relevance  
1496 (Frazier, 1990) / Predicate Proximity (Gibson et al., 1996, 1999).

1497 We conclude that PR availability plays a major role in shaping attachment preference and  
1498 we hypothesize that the observed residual differences across languages are determined by this  
1499 factor. This does not amount to say that PR availability is the *only* factor involved in deciding  
1500 attachment preferences but that the origin of many otherwise obscure asymmetries in attachment  
1501 reported in the literature can be traced back to this factor. We have shown that speakers of those  
1502 languages that allow for PRs in the relevant contexts prefer High Attachment, while speakers of  
1503 languages that disallow PRs in those same contexts prefer Low Attachment. Moreover, within  
1504 the same language, we saw that whenever PRs are not available, a Low Attachment preferences  
1505 are observed universally. Obviously much more work needs to be carried on to fully support  
1506 these claims: both at the experimental and theoretical levels a great effort is needed to describe  
1507 the availability of PRs across syntactic environments and languages (keeping in mind that PR  
1508 availability in a given structure is not the same across different languages, e.g. Italian vs. Spanish  
1509 nominals) and thus make precise predictions about attachment.

1510 Future work will need to address several questions left open at present: how and when does  
1511 the parser decide between PRs and RCs? Does the typical preference for Nominal over Clausal  
1512 complements (based mostly on a relatively small subset of verbs allowing clausal complements)  
1513 also extend to Small Clauses? i.e. could the parser already prefer a SC parse in the presence of  
1514 PR-complement type verbs *before* reaching the embedded complementizer? If a PR preference  
1515 is confirmed online, is it possible to modulate it through context manipulation? If so, would the  
1516 context play a role at the very initial stage of parsing or only at a later point, i.e. is the preference

1517 structurally or context driven?<sup>28</sup>

1518 Other questions include the role of plausible differences among PR-complement and PR-  
1519 adjunct taking verbs, the prosody of PRs and more generally SCs, and finally the relation between  
1520 PR preference and memory span. We are currently running experiments to test many of these  
1521 questions and to extend the empirical basis of the claim to cover more languages and syntactic  
1522 environments. Results on Spanish, Portuguese (Grillo et al., 2012), English (Grillo et al., 2013a)  
1523 and Greek (Grillo & Spathas, 2013) further support these claims. Preliminary results from timed  
1524 questionnaires also suggests an online preference for PRs / SCs over RCs in both European  
1525 Portuguese and English (Grillo et al., 2013b). Finally, Costa et al. (2013) addressed the question  
1526 of acquisition of PRs and found evidence for early knowledge of the obligatory HA in these  
1527 structures and in Prepositional Infinitive Constructions in European Portuguese.

1528 On these bases we have argued that once PRs are taken into the equation, the Universality of  
1529 Parsing principles of locality can be stated once again. The question of whether these principles  
1530 act independently from or in harmony with other factors (lexical, semantics, plausibility, prosody,  
1531 context, frequency) is completely independent from this claim. What we meant to address is the  
1532 residual variation that appeared to be present *after* these factors were taken into account; this  
1533 residual variation created a huge theoretical problem that might be manageable now that the role  
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<sup>28</sup>Remember that Desmet et al. (2002b) reports a clear but delayed effect of context in attachment in Dutch, but it is hard to judge from the outset whether PR availability acted as a potential confounding factor in that experiment.

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## 1854 **Appendix A. Items Experiment 1**

1855 *Note: items containing a clear PR taking verb are indicated with an asterisk.*

- 1856 1. a. il dottore ha chiamato il figlio del signore che veniva attaccato dai poliziotti  
1857 b. il dottore ha chiamato il figlio del signore che i poliziotti avevano attaccato  
1858 c. il figlio del signore che veniva attaccato dai poliziotti ha superato la prova  
1859 d. il figlio del signore che i poliziotti stavano attaccando ha superato la prova  
1860 chi era attaccato ? figlio signore
- 1861 2. a. il barista ha guardato l' amico del cliente che veniva sorpreso dai colleghi \*  
1862 b. il barista ha guardato l' amico del cliente che i colleghi avevano sorpreso  
1863 c. l' amico del cliente che veniva sorpreso dai colleghi è molto è  
1864 d. l' amico del cliente che i colleghi avevano sorpreso è molto buono  
1865 chi era sorpreso ? amico cliente
- 1866 3. a. l' avvocato ha diffidato il padre del ragazzo che veniva tradito dai compagni  
1867 b. l' avvocato ha diffidato il padre del ragazzo che i compagni avevano tradito  
1868 c. il padre del ragazzo che veniva tradito dai compagni è molto amareggiato  
1869 d. il padre del ragazzo che i compagni avevano tradito è molto amareggiato  
1870 chi era tradito ? ragazzo padre
- 1871 4. a. il cronista ha intervistato l' amico del senatore che veniva colpito dai rivoltosi  
1872 b. il cronista intervistato l' amico del senatore che i rivoltosi avevano colpito  
1873 c. il amico del senatore che veniva colpito dai rivoltosi è molto diligente  
1874 d. il amico del senatore che i rivoltosi avevano colpito è molto diligente  
1875 chi era colpito ? amico senatore
- 1876 5. a. il duca ha aiutato il figlio del sarto che veniva aggredito dai ladri  
1877 b. il duca ha aiutato il figlio del sarto che i ladri avevano aggredito  
1878 c. il figlio del sarto che veniva aggredito dai ladri è ancora intristito  
1879 d. il figlio del sarto che i ladri avevano aggredito è ancora intristito  
1880 chi era aggredito ? figlio sarto
- 1881 6. a. il visitatore ha riconosciuto il collega del dirigente che veniva zittito dai moderatori  
1882 b. il visitatore ha riconosciuto il collega del dirigente che i moderatori avevano zittito  
1883 c. il collega del dirigente che veniva zittito dai moderatori è poco cortese  
1884 d. il collega del dirigente che i moderatori avevano zittito è poco cortese  
1885 chi era zittito ? collega dirigente
- 1886 7. a. il direttore ha conosciuto il segretario del supervisore che veniva promosso dai colleghi  
1887 b. il direttore ha conosciuto il segretario del supervisore che i colleghi avevano promosso  
1888 c. il segretario del supervisore che veniva promosso dai colleghi è molto influente  
1889 d. il segretario del supervisore che i colleghi avevano promosso è molto influente  
1890 chi era promosso ? segretario supervisore

- 1891 8. a. il marchese ha osservato la nipote della ballerina che veniva protetta dalle amiche \*
- 1892 b. il marchese ha osservato la nipote della ballerina che le amiche avevano protetto
- 1893 c. la nipote della ballerina che veniva protetta dalle amiche è davvero affascinante
- 1894 d. la nipote della ballerina che le amiche avevano protetto è davvero affascinante
- 1895 chi era protetta ? nipote ballerina
- 1896 9. a. il tecnico ha ammirato il sosia del calciatore che veniva esaltato dai tifosi \*
- 1897 b. il tecnico ha ammirato il sosia del calciatore che i tifosi avevano esaltato
- 1898 c. il sosia del calciatore che veniva esaltato dai tifosi è proprio bravo
- 1899 d. il sosia del calciatore che i tifosi avevano esaltato è proprio bravo
- 1900 chi era esaltato ? sosia calciatore
- 1901 10. a. la cameriera ha visto l' amico del poliziotto che veniva insultato dai teppisti \*
- 1902 b. la cameriera ha visto l' amico del poliziotto che i teppisti avevano insultato
- 1903 c. l' amico del poliziotto che veniva insultato dai teppisti è stato ricompensato
- 1904 d. l' amico del poliziotto che i teppisti avevano insultato è stato ricompensato
- 1905 chi era insultato ? amico poliziotto
- 1906 11. a. lo studente ha odiato il nipote del preside che veniva premiato dai giurati
- 1907 b. lo studente ha odiato il nipote del preside che i giurati avevano premiato
- 1908 c. il nipote del preside che veniva premiato dai giurati è stato avvertito
- 1909 d. il nipote del preside che i giurati avevano premiato è stato avvertito
- 1910 chi era premiato ? preside nipote
- 1911 12. a. la psicolabile ha sparato al maestro del pianista che veniva applaudito dai musicisti
- 1912 b. la psicolabile ha sparato al maestro del pianista che i musicisti avevano applaudito
- 1913 c. il maestro del pianista che veniva applaudito dai musicisti è molto orgoglioso
- 1914 d. il maestro del pianista che i musicisti avevano applaudito è molto orgoglioso
- 1915 chi era applaudito ? pianista maestro
- 1916 13. a. la signora ha aiutato il garzone del cuoco che veniva chiamato dai clienti
- 1917 b. la signora ha aiutato il garzone del cuoco che i clienti avevano chiamato
- 1918 c. il garzone del cuoco che veniva chiamato dai clienti è stato licenziato
- 1919 d. il garzone del cuoco che i clienti avevano chiamato è stato licenziato
- 1920 chi era chiamato ? cuoco garzone
- 1921 14. a. la talpa ha avvertito il cugino del ragazzo che veniva spiato dai carabinieri
- 1922 b. la talpa ha avvertito il cugino del ragazzo che i carabinieri avevano spiato
- 1923 c. il cugino del ragazzo che veniva spiato dai carabinieri merita una lezione
- 1924 d. il cugino del ragazzo che i carabinieri avevano spiato merita una lezione
- 1925 chi era spiato? ragazzo cugino
- 1926 15. a. il responsabile ha nascosto la sorella della segretaria che veniva inseguita dai malviventi
- 1927 b. il responsabile ha nascosto la sorella della segretaria che i malviventi avevano inseguito
- 1928 c. la sorella della segretaria che veniva inseguita dai malviventi è tanto cara
- 1929 d. la sorella della segretaria che i malviventi avevano inseguito è tanto cara
- 1930 chi era inseguita ? segretaria sorella
- 1931 16. a. la contessa ha ascoltato l' ospite del marchese che veniva interrotto dai commensali \*
- 1932 b. la contessa ha ascoltato l' ospite del marchese che i commensali avevano interrotto

- 1933 c. l'ospite del marchese che veniva interrotto dai commensali è davvero sguaiato  
 1934 d. l'ospite del marchese che i commensali avevano interrotto è davvero sguaiato  
 1935 chi era interrotto ? marchese ospite
- 1936 17. a. la polizia ha sentito il vicino del dottore che veniva interrogato dalla portiera \*  
 1937 b. la polizia ha sentito il vicino del dottore che la portiera aveva interrogato  
 1938 c. il vicino del dottore che veniva interrogato dalla portiera è sempre distratto  
 1939 d. il vicino del dottore che la portiera aveva interrogato è sempre distratto  
 1940 chi era interrogato ? dottore vicino
- 1941 18. a. il camionista ha investito il nipote del farmacista che veniva distratto dai clienti  
 1942 b. il camionista ha investito il nipote del farmacista che i clienti avevano distratto  
 1943 c. il nipote del farmacista che veniva distratto dai clienti è molto sensibile  
 1944 d. il nipote del farmacista che i clienti avevano distratto è molto sensibile  
 1945 chi era distratto ? farmacista nipote
- 1946 19. a. la presidentessa ha salutato il corriere del commerciante che veniva eletto dai rappre-  
 1947 sentanti  
 1948 b. la presidentessa ha salutato il corriere del commerciante che i rappresentanti avevano  
 1949 eletto  
 1950 c. il corriere del commerciante che veniva eletto dai rappresentanti è stato fortunato  
 1951 d. il corriere del commerciante che i rappresentanti avevano eletto è stato fortunato  
 1952 chi era eletto ? commerciante corriere
- 1953 20. a. l'investigatore ha intercettato il sostituto del ministro che veniva corrotto dai finanziari  
 1954 \*  
 1955 b. l'investigatore ha intercettato il sostituto del ministro che i finanziari avevano corrotto  
 1956 c. il sostituto del ministro che veniva corrotto dai finanziari ha poco potere  
 1957 d. il sostituto del ministro che i finanziari avevano corrotto ha poco potere  
 1958 chi era corrotto ? ministro sostituto

1959 **Appendix B. Items Experiment 2**

- 1960 1. a. Gianni ha visto il figlio del medico che correva la maratona  
 1961 b. Gianni vive con il figlio del medico che correva la maratona  
 1962 il figlio corre  
 1963 il medico corre
- 1964 2. a. Maria ha sentito la nonna della ragazza che gridava  
 1965 b. Maria lavora con la nonna della ragazza che gridava  
 1966 la ragazza grida  
 1967 la nonna grida
- 1968 3. a. Pietro ha sentito il maestro del ragazzo che cantava  
 1969 b. Pietro si allena con il maestro del ragazzo che cantava  
 1970 il maestro canta  
 1971 il ragazzo canta

- 1972 4. a. lo scrittore guardava la zia della ragazza che saltava  
1973 b. lo scrittore ha sposato la zia della ragazza che saltava  
1974 la ragazza salta  
1975 la zia salta
- 1976 5. a. Silvia ascoltava la figlia del poliziotto che parlava  
1977 b. Silvia lavora per la figlia del poliziotto che parlava  
1978 la figlia parla  
1979 il poliziotto parla
- 1980 6. a. Paola osservava l'amico del politico che cucinava  
1981 b. Paola è fidanzata con l'amico del politico che cucinava  
1982 il politico cucina  
1983 l'amico cucina
- 1984 7. a. Mario ha sorpreso l'assistente dell'attrice che rubava  
1985 b. Mario è affezionato all'assistente dell'attrice che rubava  
1986 l'assistente ruba  
1987 l'attrice ruba
- 1988 8. a. l'avvocato ha beccato l'autista del vicino che fumava  
1989 b. l'avvocato si esercita con l'autista del vicino che fumava  
1990 il vicino fuma  
1991 l'autista fuma
- 1992 9. a. Lucia osservava il vicino del segretario che si allenava  
1993 b. Lucia è innamorata del vicino del segretario che si allenava  
1994 il vicino si allena  
1995 il segretario si allena
- 1996 10. a. Giorgio guardava il nipote dell'infermiera che mangiava  
1997 b. Giorgio è imparentato col nipote dell'infermiera che mangiava  
1998 l'infermiera mangia  
1999 il nipote mangia
- 2000 11. a. Carlo ha fotografato il collega dell'impiegato che rubava  
2001 b. Carlo odia il collega dell'impiegato che rubava  
2002 il collega ruba  
2003 l'impiegato ruba
- 2004 12. a. Sara ha visto l'amico del giudice che guidava  
2005 b. Sara convive con l'amico del giudice che guidava  
2006 il giudice guida  
2007 l'amico guida
- 2008 13. a. Francesco immaginava l'amica dell'estetista che lavorava  
2009 b. Francesco cena con l'amica dell'estetista che lavorava  
2010 l'amica lavora  
2011 l'estetista lavora

- 2012 14. a. Rachele ha sognato l'amico del cugino che beveva  
2013 b. Rachele è sposata con l'amico del cugino che beveva  
2014 il cugino beve  
2015 l'amico beve
- 2016 15. a. Ennio ha ritratto il fratello della donna che fumava  
2017 b. Ennio lavora per il fratello della donna che fumava  
2018 il fratello fuma  
2019 la donna fuma
- 2020 16. a. Filippo ha filmato l'agente del giocatore che russava  
2021 b. Filippo frequenta l'agente del giocatore che russava  
2022 il giocatore russa  
2023 l'agente russa
- 2024 17. a. Maria ha registrato il cugino dell'avvocato che parlava  
2025 b. Maria lavora per il cugino dell'avvocato che parlava  
2026 il cugino parla  
2027 l'avvocato parla
- 2028 18. a. Roberto ha guardato l'amico del pizzaiolo che ballava  
2029 b. Roberta ama l'amico del pizzaiolo che ballava  
2030 il pizzaiolo balla  
2031 l'amico balla
- 2032 19. a. Simona ha fotografato il vicino dell'infermiera che studiava  
2033 b. Simona collabora col vicino dell'infermiera che studiava  
2034 il vicino studia  
2035 l'infermiera studia
- 2036 20. a. Michele guardava il fratello del manager che scalava  
2037 b. Michele studia col fratello del manager che scalava  
2038 il manager scala  
2039 il fratello scala
- 2040 21. a. Antonio ha filmato la sorella dell'amica che scriveva  
2041 b. Antonio ha sposato la sorella dell'amica che scriveva  
2042 la sorella scrive  
2043 l'amica scrive
- 2044 22. a. Mario immaginava l'amica della collega che ballava  
2045 b. Mario lavora con l'amica della collega che ballava  
2046 la collega balla  
2047 l'amica balla
- 2048 23. a. Massimo ha visto l'insegnante dell'amica che guidava  
2049 b. Massimo esce con l'insegnante dell'amica che guidava  
2050 l'insegnante guida  
2051 l'amica guida

- 2052 24. a. Anna ascoltava il figlio del vicino che cantava  
 2053 b. Anna studia col figlio del vicino che cantava  
 2054 il vicino canta  
 2055 il figlio canta

2056 **Appendix C. Pseudo Relatives across languages**

2057 *Appendix C.1. Romance Languages*

2058 Table C.9 contains a list of languages for which PR-availability was discussed in the litera-  
 2059 ture.

Language	Sentence	Reference
Spanish	He visto a Pedro que corria	Rafel (1999)
Italian	Ho visto Gianni che correva	Radford (1975, 1977); Graffi (1980), Taraldsen (1981); Burzio (1981, 1986), Guasti (1988, 1992, 1993); Cinque (1992), Rizzi (1992); Casalicchio (2013).
French	J'ai vu Jean qui courait	Kayne (1981); Labelle (1996), Côté (1999); Koenig & Lambrecht (1999), Koopman & Sportiche (2010)
Galician	Eu vin a Xoán que corría	Rafel (1999)
European Portuguese	Eu vejo o João que corre	Barros de Brito (1995); Fernandes (2012)

Table C.9: PRs across languages

2060 *Appendix C.2. Dutch*

2061 (82-a,b) illustrate PRs in Dutch:

- 2062 (82) a. Ik zag Jan die naar huis rende  
 2063 I saw J who to home run-past  
 2064 b. Ik zag Jan naar huis rennen  
 2065 I saw J to home run-inf

2066 (83) illustrates the Temporal restriction on PRs in Dutch: Temporal mismatch between future  
 2067 Tense in the embedded clause and past tense on the matrix verb prevents a PR interpretation. The  
 2068 appositive reading is available, but, as usual, it requires special comma intonation (i.e. longer  
 2069 break between Jan and die). We are grateful to Ad Neeleman and Hans van de Koot for providing  
 2070 these judgments.

- 2071 (83) \*Ik zag Jan die naar huis zal rennen  
 2072 I saw Jan who to home will run-inf

2073 *Appendix C.3. Greek*

2074 (84) illustrates PRs in Greek (We are grateful to Giorgos Spathas for providing these judg-  
 2075 ments:

2076 (84) a. Idha ton Jani pu eplene ton skilo.  
saw.PERF.I the John that washed.IMPERF the dog  
2077 I saw John washing the dog.

2078 b. Paratirusa ton Jani pu eplene ton skilo.  
observed.IMPERF.I the John that washed.IMPERF the dog  
2079 I was observing John washing the dog.

2080 (85) illustrates the Temporal restrictions on PRs in Greek. The variant with present might be  
2081 possible in a situation where it is clear that my observing coincided with John's washing the dog  
2082 (i.e. an extended present for wash).

2083 (85) Paratirusa ton Jani pu eplene/ \*pleni/ \*tha pleni ton skilo.  
2084 observed.IMPERF.I the John that wash.PAST.IMPERF/ wash.PRES.IMPERF/ will wash.  
2085 IMPERF the dog I was observing John washing the dog

2086 (86) shows that the same structures are not allowed with Relative pronouns *o opios*. As usual,  
2087 there is a marginal, and irrelevant for our purposes, reading in which examples like (86) are ok  
2088 as Restrictive RCs, i.e. when the context involves more than one John.

2089 (86) \*Paratirusa ton Jani o opios eplene ton skilo.  
2090 observed.IMPERF.I the John the.NOM.SG who.NOM.SG washed.IMPERF the dog  
2091 'I was observing John washing the dog.'

#### 2092 Appendix C.4. Serbo-Croatian

2093 As (87) shows, Serbo-Croatian freely allows PRs (We are grateful to Boban Arsenijević for  
2094 providing these data):

2095 (87) Video sam Jovana koji je ljubio devojkju.  
2096 seen am Jovan.Acc which is kissed girl I saw Jovan kissing the girl

2097 While (87-a) is ambiguous between a PR and the (marginal and, once again, irrelevant) RC  
2098 interpretation, RC is the only available interpretation in (88).

2099 (88) Video sam Jovana koji ?e poljubiti devojkju. RC only / \*PR  
2100 seen am Jovan.Acc which will kiss.Inf girl

2101 (89) shows that PRs are also unavailable with perfective aspect (89-a) and stative predicates  
2102 (89-b). In both cases the RC reading is of course available.

2103 (89) a. Video sam Jovana koji je ljubio / \*poljubio devojkju. seen am Jovan.Acc which is  
2104 kissed.Imperf / Perf girl  
2105 b. \*Video sam Jovana koji je znao put do grada. seen am Jovan.Acc which is known  
2106 way to city

#### 2107 Appendix C.5. Korean and Japanese

2108 On Japanese and Korean see Shimoyama; Kim's (1999; 2009) discussion of Internally Headed  
2109 Relative Clauses (IHRC) and in particular, the discussion in Kim (2009) of the parallelism be-  
2110 tween the latter and *Perceptual Constructions*, which appear to display the same properties of  
2111 PRs. (90), is ambiguous between a SC / perceptual construction reading and a restrictive RC  
2112 reading, is an example of the relevant structures in Japanese.

2113 (90) Watashi-wa [kocchi-ni hashitte-kuru Nao]-o mita.  
 2114 I-top here-to run-come Nao-acc saw  
 2115 I saw Nao running this way.

2116 (91) and (92) illustrate IHRCs and Perceptual Constructions respectively, both are ambiguous  
 2117 between a restrictive and non-restrictive reading. See Kim (2009) for a detailed comparison and  
 2118 discussion of their syntax and semantics.

2119 (91) The IHRC construction: (Kim, 2009, ex. 1, p. 346)  
 2120 John-un [[totwuk-i tomangka-n]-un kes]-ul cap-ess-ta.  
 2121 J.-TOP [[thief-NOM run.away-IMPRF]-REL KES]-ACC catch-PST-DECL  
 2122 John caught a/the thief while he (= the thief) was running away.

2123 (92) The perception construction: (Kim, 2009, ex. 2, p. 346)  
 2124 John-un [[totwuk-i tomangka-n]-un kes]-ul po-ess-ta.  
 2125 J.-TOP [[thief-NOM run.away-IMPRF]-REL KES]-ACC see-PST-DECL  
 2126 John saw the event of the thief running away.

#### 2127 Appendix C.6. Basque

2128 Basque does not allow PRs. (We are grateful to Larraitz Zubeldia for providing these data  
 2129 and judgments)

2130 (93) Gitarra jo-tzen ari zen Jon ikus-i d-u-t  
 2131 guitar.det.sg play-ipfv prog 3sg.abs.pst.comp Jon see-pfv 3sg.abs.prs-have-1sg.erg  
 2132 I saw John that plays guitar (RC only)

2133 The translation given to (93) by my Basque informer is the following: “*of all the Jon I know, I*  
 2134 *saw the one that plays guitar.* The PR meaning can be expressed with the following sentence:

2135 (94) Jon gitarra jotzen ari zela ikusi dut. Jon gitarra jo-tzen ari ze-la ikus-i d-u-t  
 2136 Jon guitar.det.sg play-ipfv prog 3sg.abs.pst.comp see-pfv 3sg.abs.prs-have-1sg.erg I saw  
 2137 that Jon was playing the guitar I saw John playing the guitar / while he was playing the  
 2138 guitar

#### 2139 Appendix C.7. Chinese

2140 Given that DE-modifiers can be freely constructed with proper names (95), one might sup-  
 2141 pose that they are akin to PRs.<sup>29</sup>

2142 (95) Mouren kaiqiang dasi-le zhanzai yangtaishang-de Xiaoming-de puren.  
 2143 Someone shoot dead-ed standing on the balcony DE Xiaoming’s servant.  
 2144 Someone shot the servant of [Xiaoming<sub>i</sub> who<sub>i</sub> was standing on the balcony].

2145 However, a more in-depth analysis based on a thorough comparison between DE-modifiers and  
 2146 post nominal Small Clauses shows that the former cannot be treated as PRs.

2147 i. DE-modifiers are incompatible with a propositional reading, while genuine SCs can have  
 2148 propositional contents (also in Chinese).

<sup>29</sup>We are grateful to Shuyin Zhang for her extensive help with grammaticality judgements on the materials presented in this section.

- 2149 ii. DE-modifiers allow for temporal mismatch between the event described in the matrix sen-  
 2150 tence and the event described in the embedded clause, which genuine SCs, also in Chinese  
 2151 do not;
- 2152 iii. De-modifiers are available with both subjects and objects, while genuine SCs in Chinese  
 2153 can only be construed with subjects.
- 2154 iv. DE-modifiers are not bound by any aspectual restrictions, while post-nominal SCs are  
 2155 subjected to the same restrictions found in PRs.

2156 As mentioned above, using an inanimate pronominal (or a definite description that clearly  
 2157 refers to an eventuality) to refer to the content of PRs and Scs (of the Acc-ing type) is a good  
 2158 diagnostics to establish propositional status (96).

- 2159 (96) a. Ciò che ho visto è Gianni che correva  
 2160 What I saw is Gianni that was running  
 2161 b. What I saw is John running

2162 DE-modifiers (97-a,b), contrary to post-nominal SCs in Chinese (97-c), however, cannot be made  
 2163 to co-refer to eventive NPs, which shows that they cannot be interpreted as propositions.

- 2164 (97) a. \*wo kanjian de shiqing **shi zhanzai yangtaishang de**  
 I saw DE event is  
 2165 Xiaoming.  
**standing on the balcony DE** Xiaoming.  
 The event I saw is Xiaoming standing on the balcony.
- 2166 b. \*wo kanjian de shiqing **shi zai paobu de** Xiaoming.  
 2167 I saw De event is **-ing run DE** Xiaoming  
 What I saw is Xiaoming running
- 2168 c. wo kanjian de shiqing shi Xiaoming **zai paobu**  
 2169 I saw DE event is Xiaoming **-ing run**  
 2170 The event I saw is Xiaoming running

2171 Conversely, as (98) shows, post-nominal SCs can only refer to eventualities and not to entities.  
 2172 As the glosses show, the same is true of English Acc-ing constructions:

- 2173 (98) \*Wo kanjian de ren shi Xiaoming zai paobu.  
 2174 I saw DE the person is Xiaoming ing run.  
 2175 The person I saw is Xiaoming running.

2176 The claim that DE-modifiers are not at all like PRs is further supported by the lack of con-  
 2177 straints on their temporal properties. While the event denoted by PRs has to develop within  
 2178 the same temporal interval of the matrix event, a temporal mismatch is perfectly available with  
 2179 DE-modifiers (99).

- 2180 (99) (zuotian) mouren kanjian-le [(mingtian) yao zhanzai yangtaishang-de] Xiaoming.  
 2181 yesterday someone saw [(tomorrow) will standing on the balcony-DE] Xiaoming  
 2182 Yesterday someone saw the Xiaoming who is going to stand on the balcony tomorrow.

2183 Temporal mismatch, however, is not allowed with post-nominal SCs:

2184 (100) \*Wo (zuotian) kanjian de shiqing shi Xiaoming (jintian) zai paobu.  
 2185 I (yesterday) saw DE event is Xiaoming (today) ing run.  
 2186 The event I saw yesterday is Xiaoming running today.

2187 Contrary to PRs, DE-modifiers can be construed with both subjects and objects of the embedded  
 2188 clause (101-a,b). Post-nominal SCs, on the other hand, behave just like PRs and can only appear  
 2189 with subjects (101-c,d).

2190 (101) a. wo kanjian qinguo nage nvhai de nage nanhai  
 I saw kissed girl DE boy  
 2191 I saw the boy that kissed the girl  
 2192 b. wo kanjian nage nanhai qinguo de nvhai  
 I saw boy kissed DE girl  
 2193 I saw the girl that the boy kissed.  
 2194 c. wo kanjian Mary zaiqin Xiaoming  
 I saw Mary -ing kiss Xiaoming  
 2195 I saw Mary kissing Xiaoming  
 2196 d. \*wo kanjian Mary Xiaoming zai qin.  
 I saw Mary Xiaoming -ing kiss  
 2197 \*I saw Mary Xiaoming kissing.

2198 Finally, aspectual restrictions typically found with PRs are observed with post-nominal SCs  
 2199 (102-c,d) but not DE-modifiers (102-a,b):

2200 (102) a. wo kanjian zai paobu de Xiaoming.  
 I saw ing run DE Xiaoming.  
 2201 I saw Xiaoming who is running  
 2202 b. wo kanjian hui yingyu de Xiaoming  
 I saw knows English DE Xiaoming  
 2203 I saw Xiaoming who knows English  
 2204 c. wo kanjian Xiaoming zai paobu.  
 I saw Xiaoming -ing run  
 2205 I saw Xiaoming running.  
 2206 d. \*wo kanjian Xiaoming zai hui yingyu.  
 I saw Xiaoming -ing know English  
 2207 \*I saw Xiaoming knowing English.

2208 (102) shows that while pronominal DE-modifiers can be freely used with both eventive and  
 2209 stative predicates, post-nominal SCs (just like PRs and Acc-ing constructions) are completely  
 2210 unacceptable with stative predicates (e.g. *to know English*).

2211 Defining the exact properties of DE-modifiers is beyond the scope of this paper, it suffices  
 2212 here to demonstrate that these constructions share a number of essential properties with RCs and  
 2213 are very unlike PRs.

#### 2214 *Appendix C.8. Romanian*

2215 Romanian clearly does not allow PRs (Thanks to Anca Sevcenco for providing these judg-  
 2216 ments): There is no SC reading for (103), only the restrictive relative reading is allowed:

2217 (103) . Ion a văzut fata care alerga.  
2218 Ion has seen girl.the who was running  
2219 Ion saw the girl that was running

2220 Romanian seems to behave like English in that to obtain the SC reading, the verb in the subordi-  
2221 nate must be changed into a gerunziu / gerundive (non-predicative mood):

2222 (104) Ion a văzut fata alergînd.  
2223 Ion has seen girl.the running-GERUNZIU  
2224 Ion saw the girl running.